

Münchhausen effect,  
tunneling in an asymmetric  
SQUID

FROM THE CREATOR OF "TIME BANDITS" AND "BRAZIL"



## Quantum instability in a dynamically asymmetric dc-SQUID

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Why can't you understand that  
Baron Münchhausen is famous  
not because he flew  
or did not fly to the moon,  
but because he doesn't lie

# General idea: Münchhausen

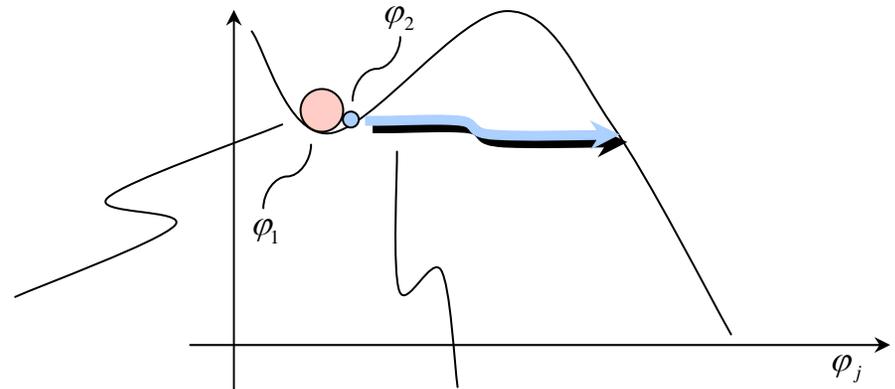
Can a heavy particle tunnel?

Zero temperature: No thermal excitation.

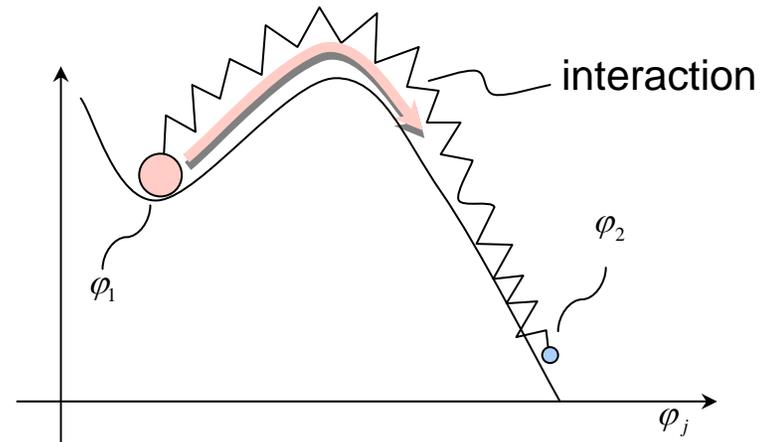
Yes - if it has a light part which drags the heavy part!

System is unstable w.r.t. **macroscopic quantum tunneling** of the light degree of freedom  $\varphi_2$

After tunneling the trapping potential for heavy object is distorted and the state may become unstable.



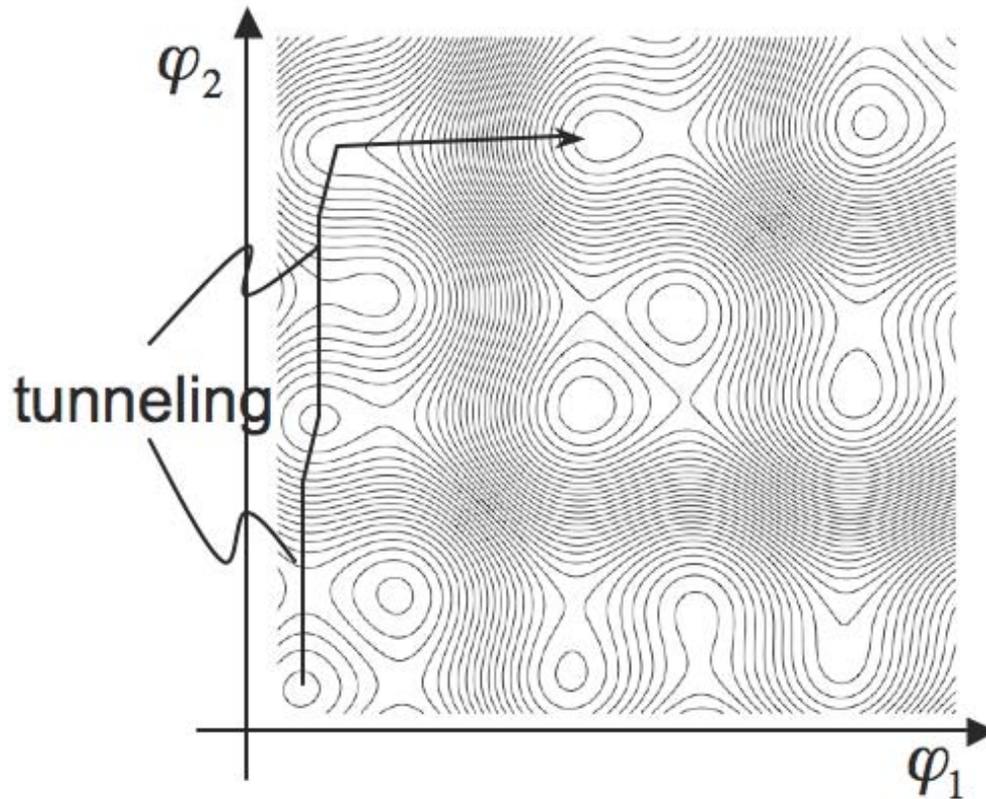
Light part tunnels out of metastable minimum.



And drags the heavy part!

# 2d potential

If  $\varphi_1$  and  $\varphi_2$  are coordinate of the heavy and light parts we have effectively tunneling of a single particle in a 2d potential with strongly anisotropic mass.





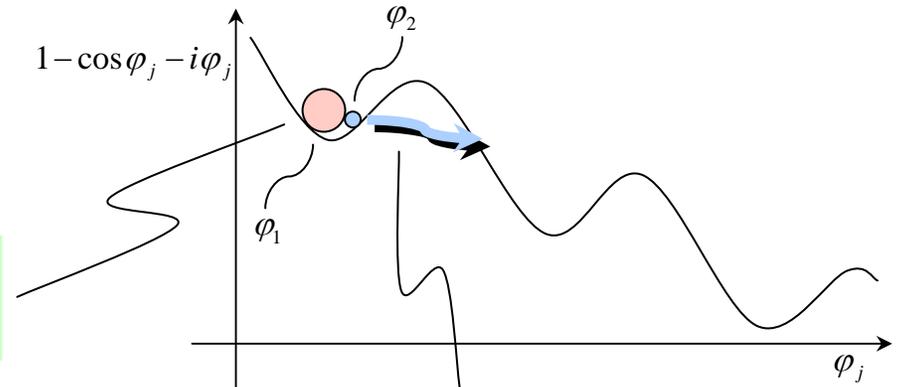
# How to realize it ?

SQUID prepared in a **symmetric state** with no external magnetic flux:  $\varphi_1 = \varphi_2$

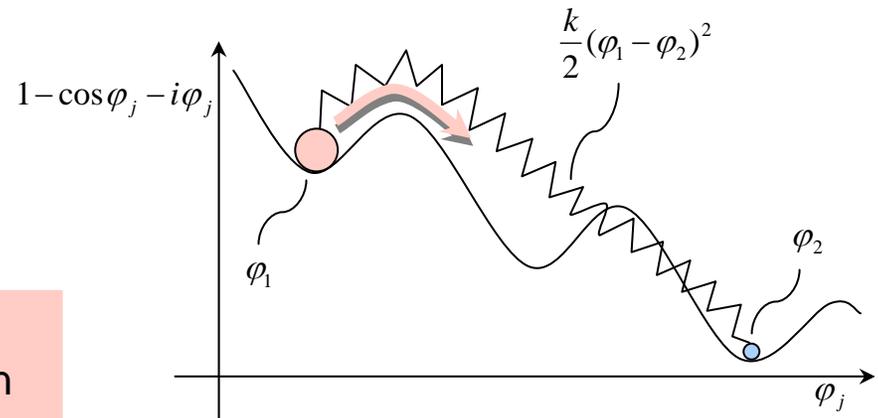
**Zero temperature:** No thermal excitation.

System is unstable w.r.t. **macroscopic quantum tunneling** of the phase of the small junction  $\varphi_2$ .

For what bias  $I$  does the system exhibit a state of finite voltage over the heavy junction at given coupling (inductance  $L^{-1}$ )?



Small junction tunnels out of metastable minimum.



Is large junction delocalized?

# Setup: Capacitance-asymmetry

**dc-SQUID:** Two Josephson-junctions in a biased (current  $I$ ) superconducting ring with total inductance  $L$ .

Junctions described by **RCSJ-model** with inductive coupling, ( $\varphi_j$ =phase difference across junction  $j$ )

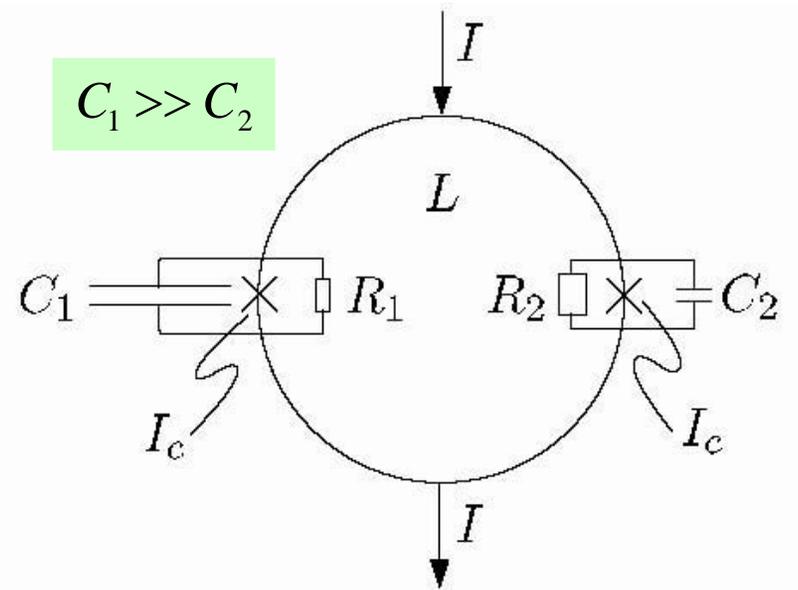
$$m_j \ddot{\varphi}_j + \eta_j \dot{\varphi}_j = -\partial_{\varphi_j} v(\varphi_1, \varphi_2),$$

$$m_j = \frac{\Phi_0}{2\pi I_c} C_j, \quad \eta_j = \frac{\Phi_0}{2\pi I_c} \frac{1}{R_j}.$$

and the interferometer potential

$$v(\varphi_1, \varphi_2) = 1 - \cos \varphi_1 + 1 - \cos \varphi_2 - i(\varphi_1 + \varphi_2) + \frac{k}{2}(\varphi_1 - \varphi_2)^2.$$

$$i = \frac{I}{2I_c}, \quad k = \frac{\hbar}{2\pi I_c} \frac{1}{L},$$



Two junctions in different regimes:

$$\frac{\hbar}{2e} I_c = E_J \gg E_{c1} = \frac{e^2}{2C_1}$$

$$E_J \gtrsim E_{c2}$$

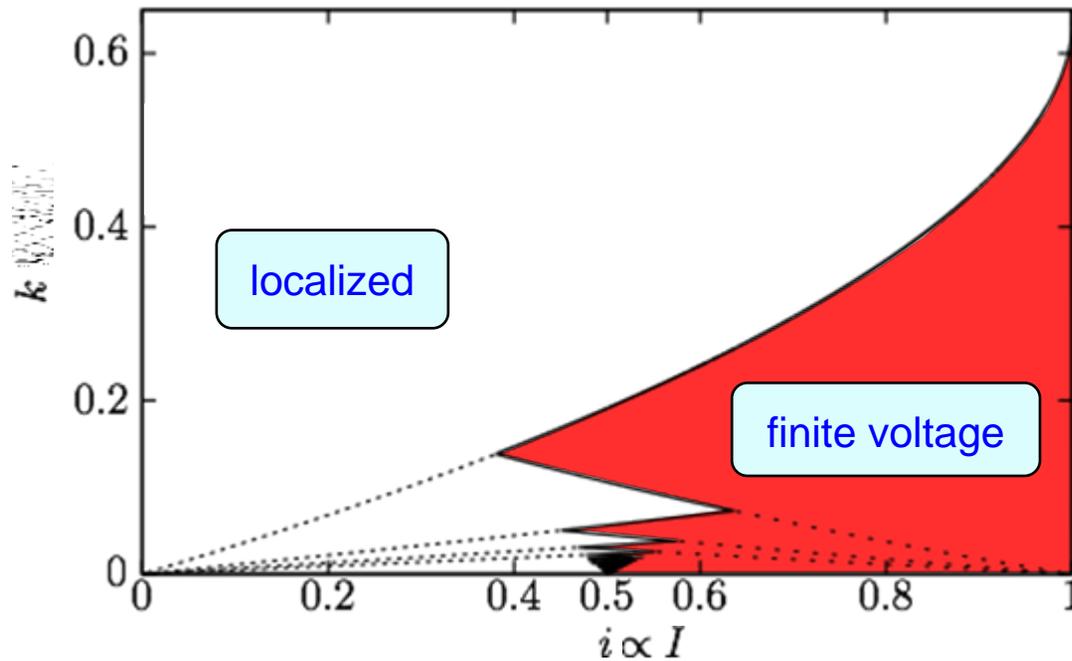


classical regime



quasi-classical regime

# Phase diagram overdamped



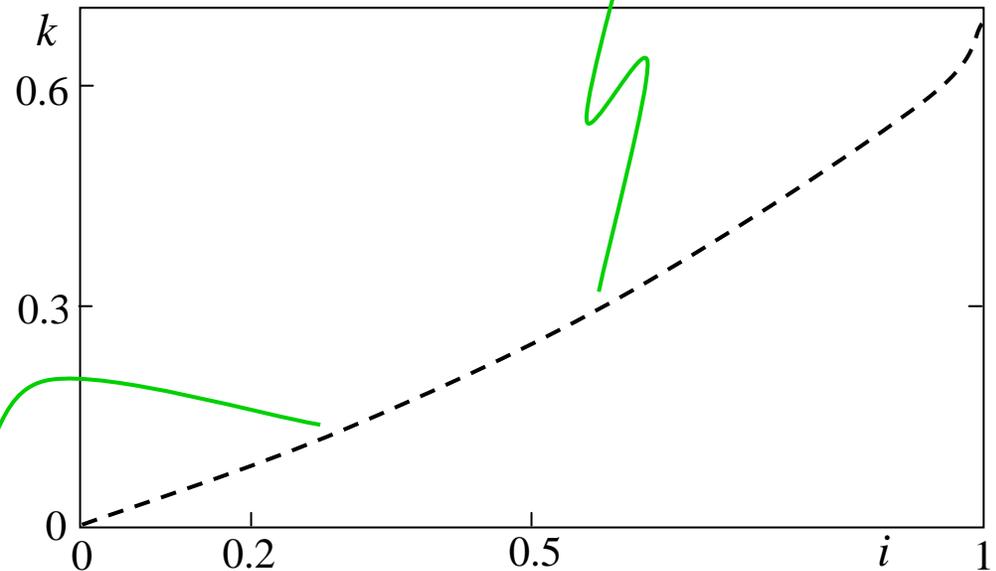
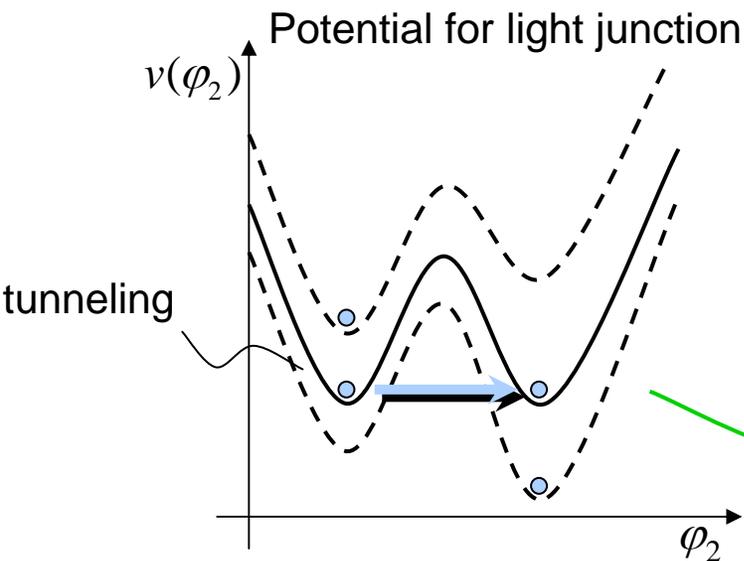
# Phase diagram: Strong dissipation

$$\alpha_2 = \eta_2 2\pi E_J / \hbar > 1.$$

Strong dissipation for small junction.

- Total system is in given local minimum of 2d-SQUID potential.
- With increase of current  $i$  the next minimum for quantum junction is lowered below the original minimum.

$$k < i / (\pi - \arcsin i)$$



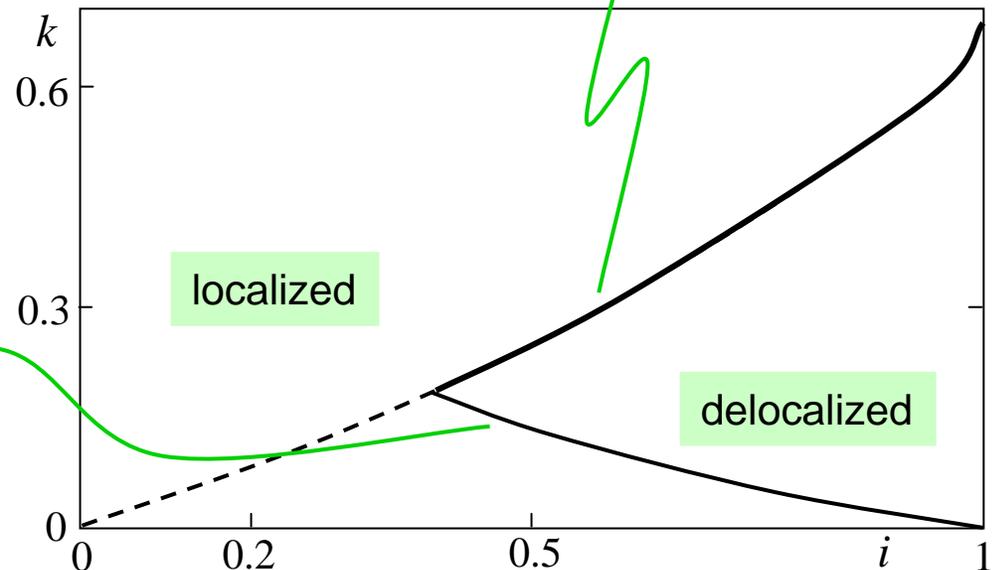
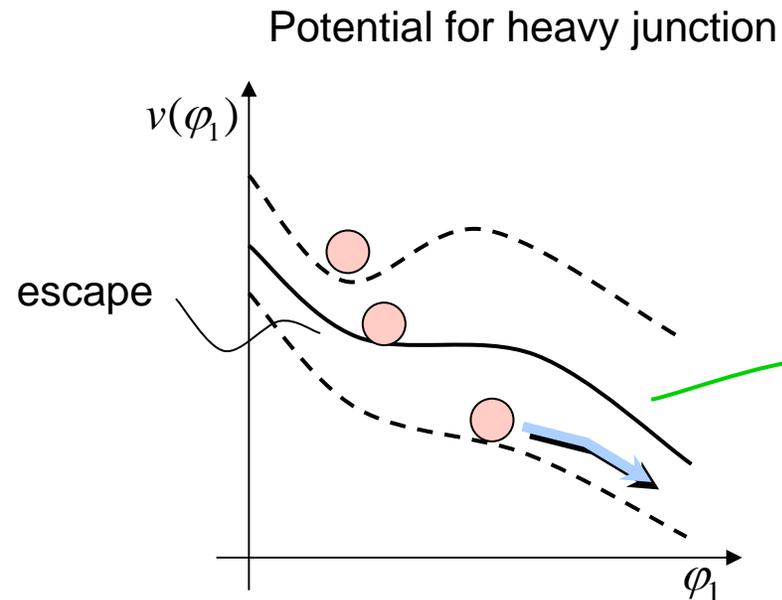
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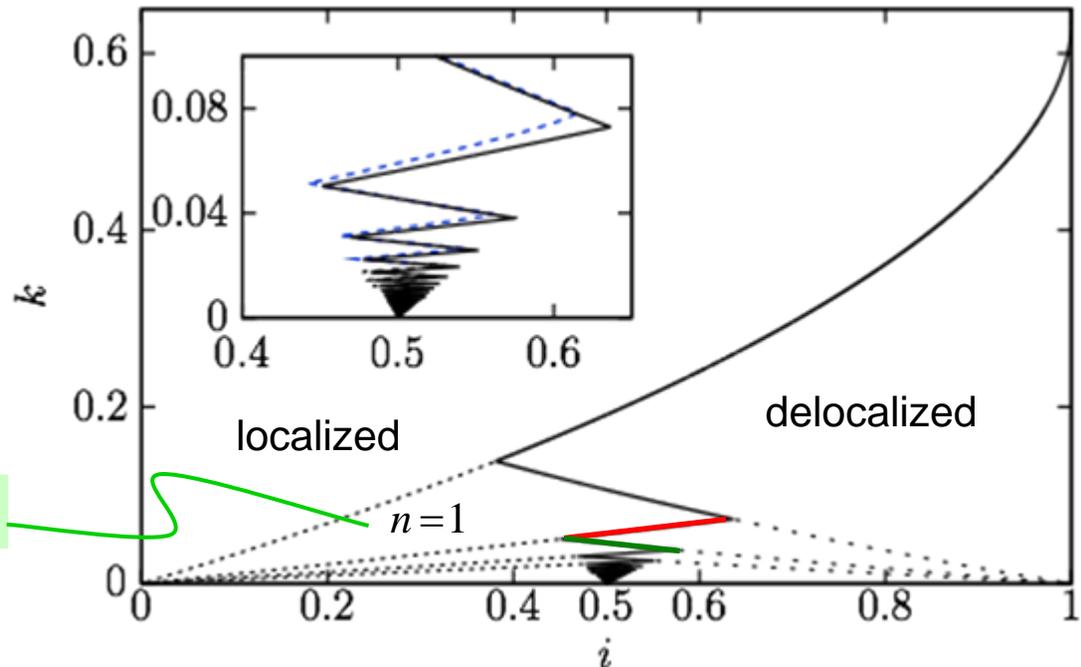
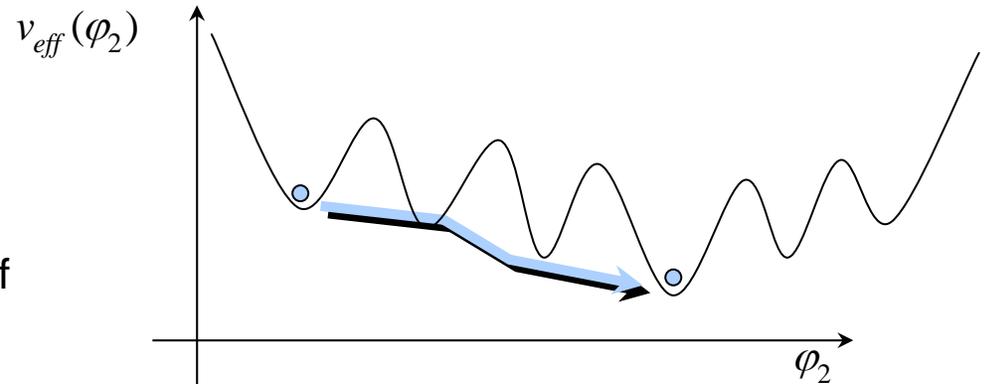
- Total system is in given local minimum of 2d-SQUID potential.
- Large junction is delocalized if minimum becomes unstable.

$$k < i / (\pi - \arcsin i)$$



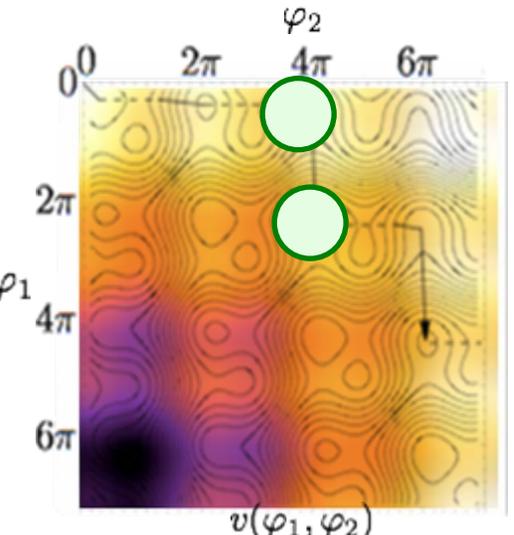
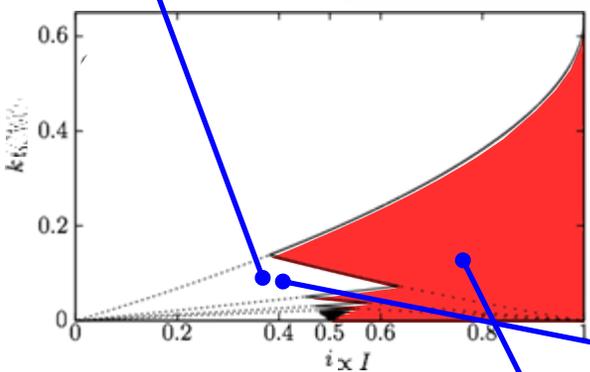
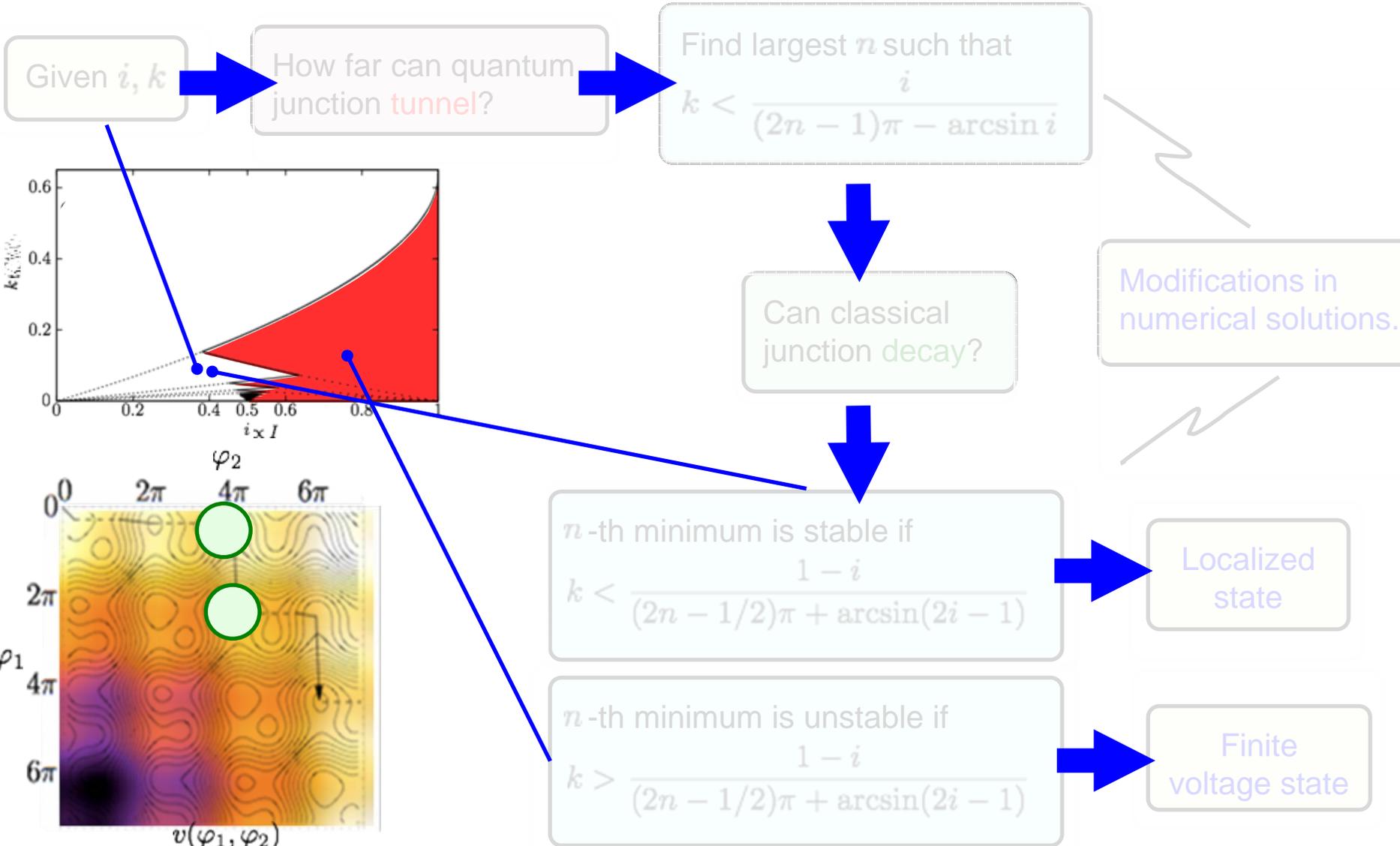
# Phase diagram: Strong dissipation

- Every time weak junction tunnels flux enters inside the SQUID loop.
- Small junction will come to rest at global minimum of effective potential.
- Total system is in given local minimum of 2d-SQUID potential.
- Large junction is delocalized if minimum becomes unstable.

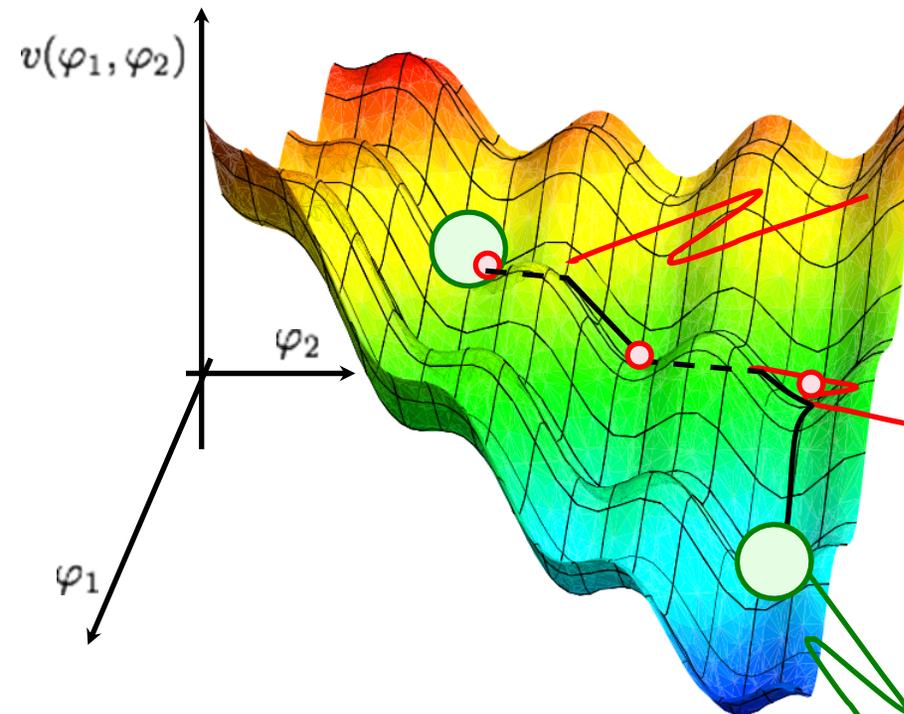


no. of flux quanta in the ring.

# Decay process/phase diagram technical



# Decay process initial stage



Quantum tunneling of QJ, phase slip:  
Approximate flux unit enters.

More flux enters until ground state is reached.

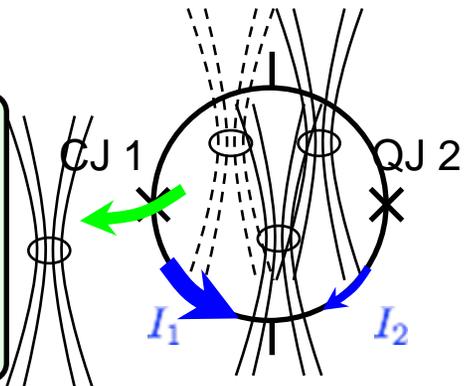
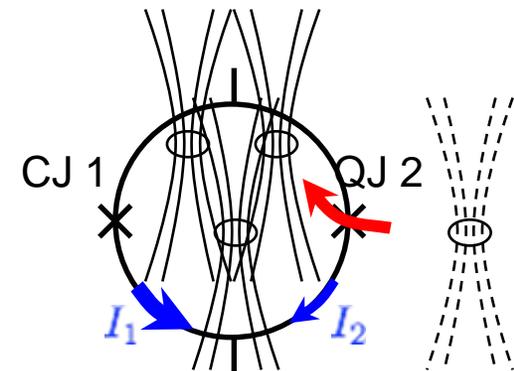
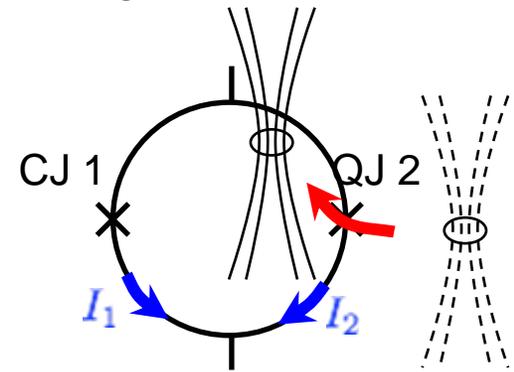
overdamped junctions:

$$\alpha_1, \alpha_2 > 1$$

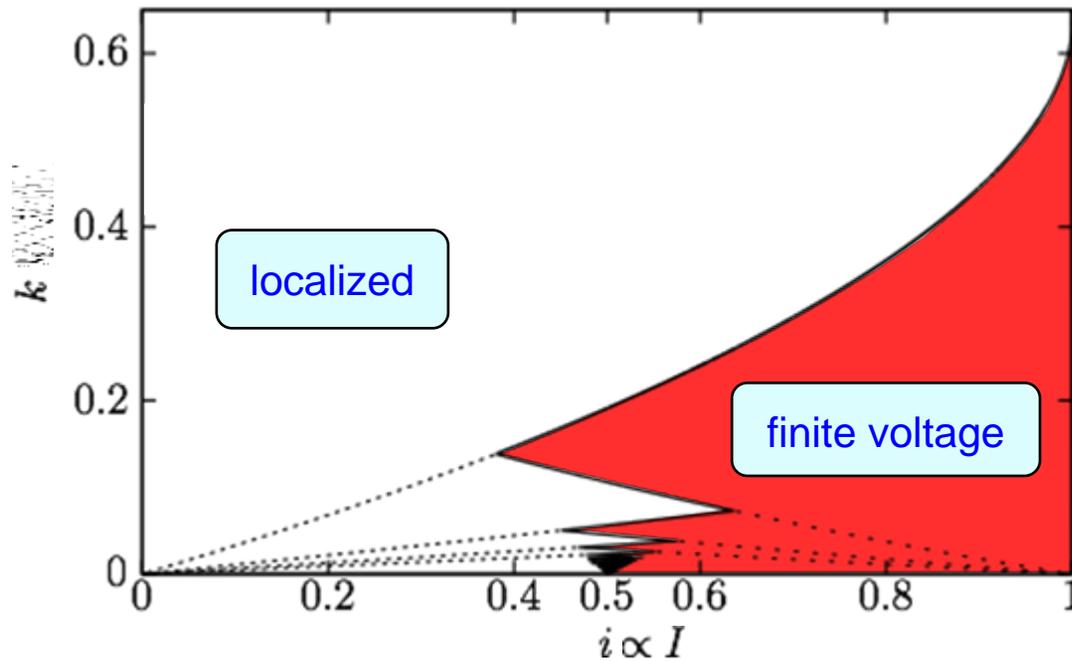
$$\alpha = (2RC\omega_p)^{-1}$$

$$\hbar^2\omega_p^2 = 8E_J E_c$$

CJ overcritical, flux leaves through CJ upon classical relaxation.



# Phase diagram overdamped

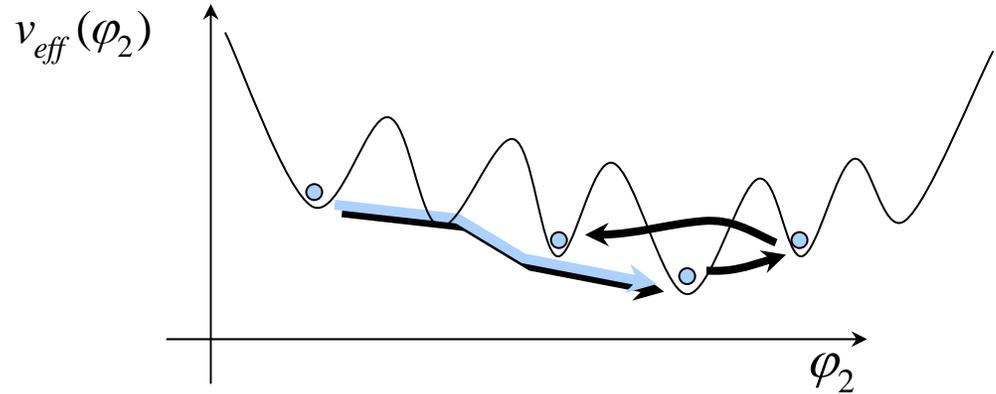


# Weak dissipation

$$\alpha_2 = \eta_2 2\pi E_J / \hbar < 1.$$

weak dissipation for small junction.

- Weak junction is delocalized between several wells



# Massive dynamics fast ramping

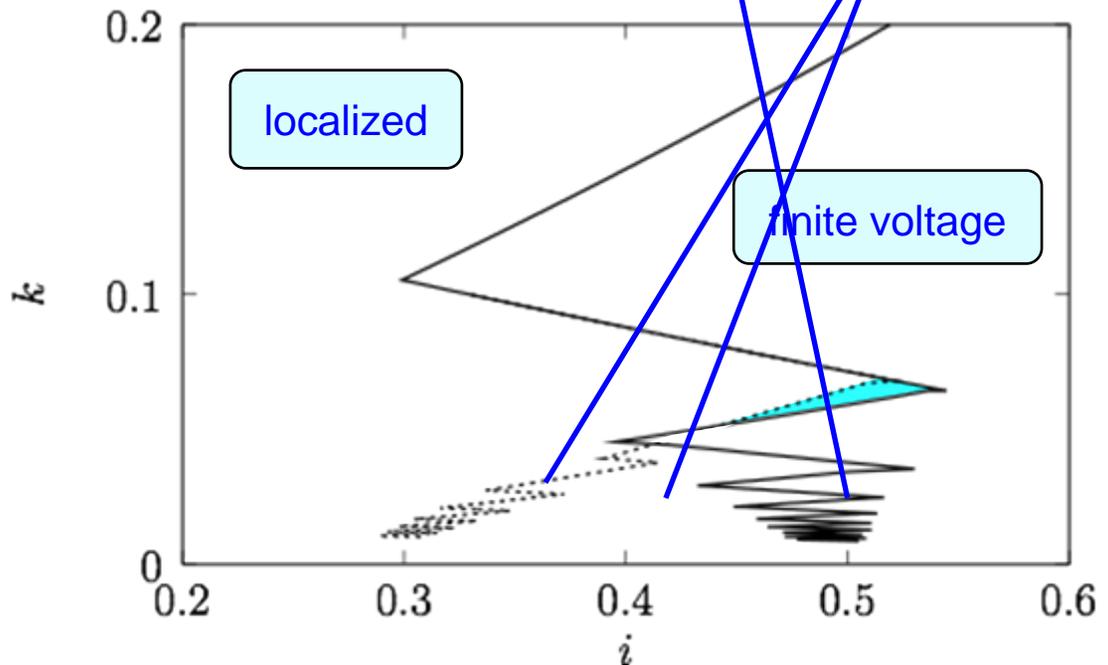
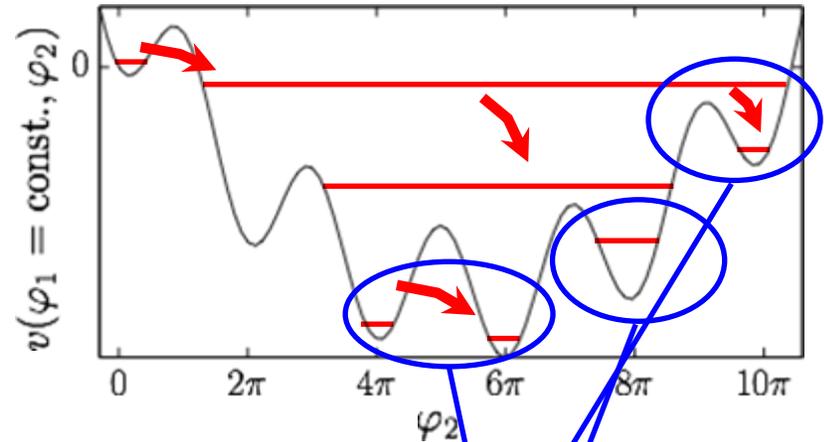
- We consider **instantaneous** ramping
- Relevant residual dissipation:  
Assume relaxation in initial/side wells before decay of QJ (can be made consistent with experimental parameters).

- relevant time scales:

$$\Delta_2 \lesssim \omega_{p1} \ll \omega_{p2}.$$

→ QJ may be trapped in **any** local minimum for a significant amount of time.

→ smearing of decay line.



# Parameters

RCSJ-model: Parameters:

“mass”  $m_j = \frac{\Phi_0 C_j}{2\pi c I_c}$

damping parameter  $\eta_j = \frac{\Phi_0}{2\pi c I_c R_j}$

dimensionless bias current  $i = \frac{I}{2I_c}$

coupling constant  $k = \frac{\Phi_0 c}{2\pi I_c L}$

Realistic parameters:

$C_1 \approx 1\text{pF}$

$C_2 \approx 3\text{fF}$

$I_c \approx 550\text{nA}$

$L \approx 4 - 8\text{nH}$

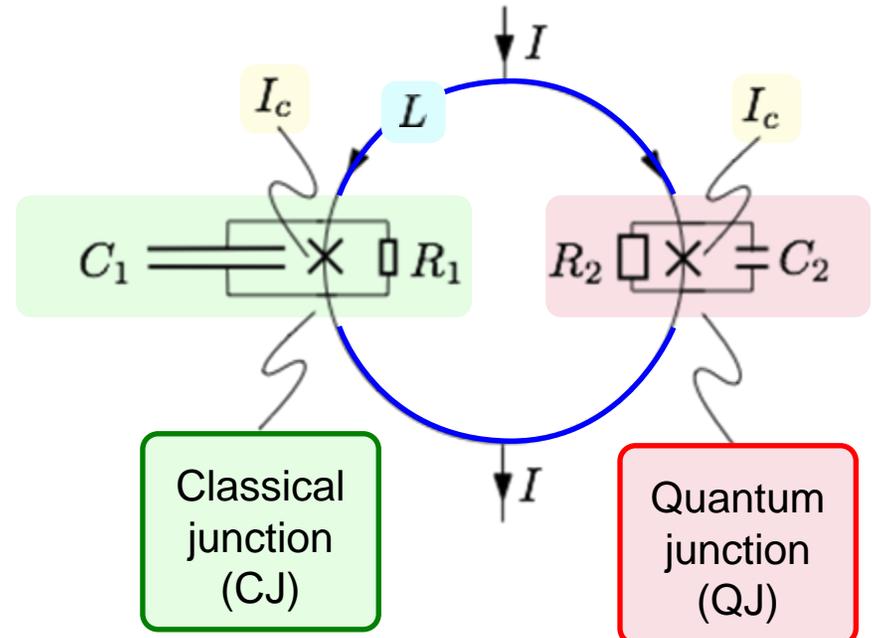
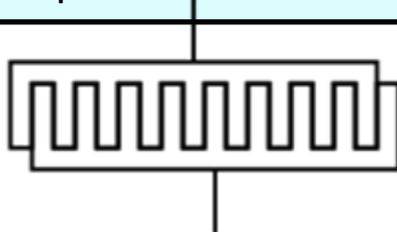
$E_J/E_{c2} \approx 40(\sim 4\text{-}5 \text{ levels})$

$m_1/m_2 \approx 330$

$k \approx 0.07 - 0.15$

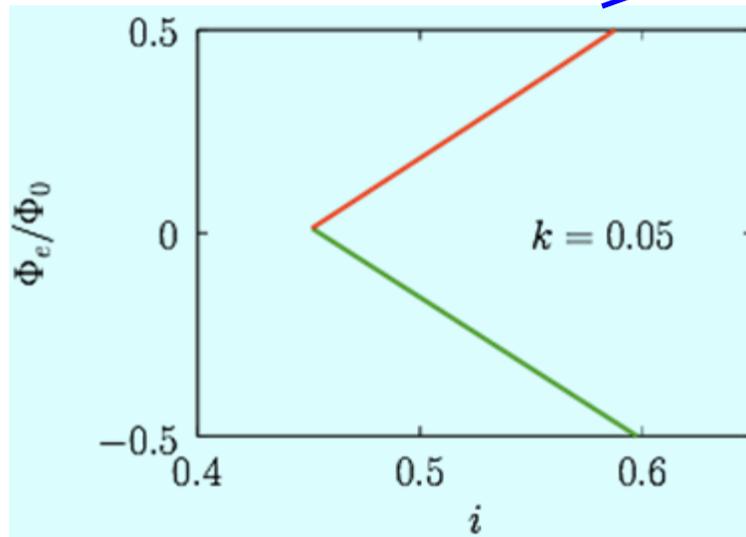
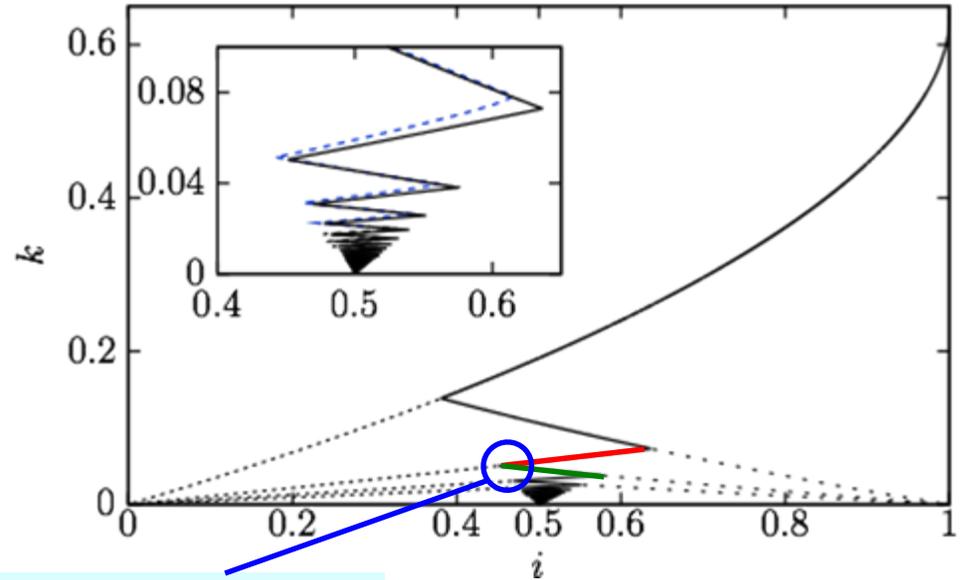
Realistic design:

- Two **identical** Josephson junctions, one of them shunted with large capacitance:



# Measurements tuning external flux

- Building many SQUIDS with different  $L$  is tedious.
  - Tuning the **externally applied magnetic flux**  $\Phi_e$  is easy.
- ➔ See one whole “tip” with a single device.

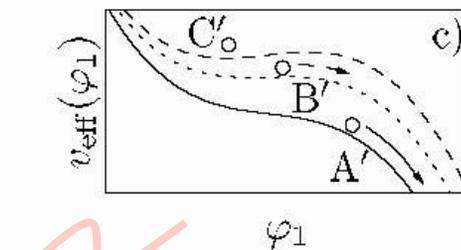
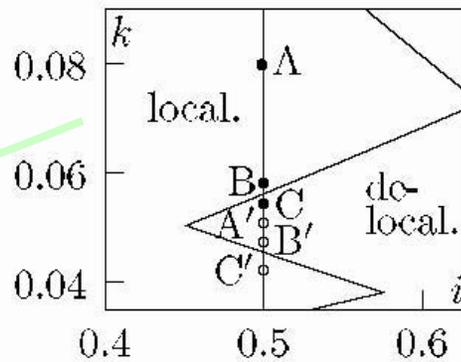
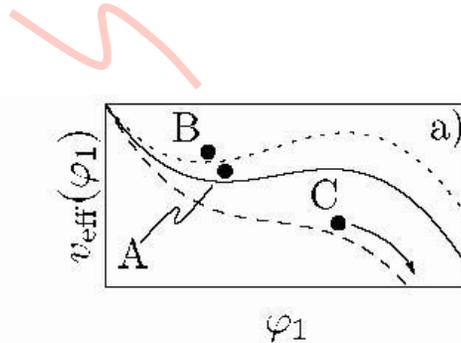


# More insight

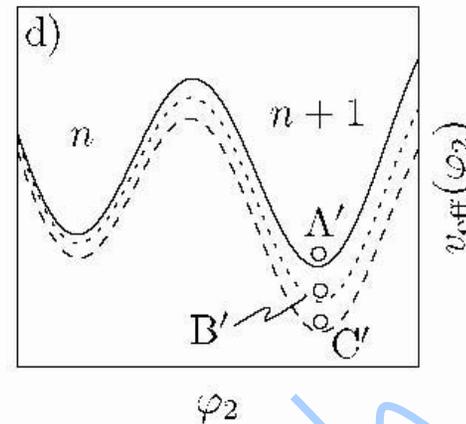
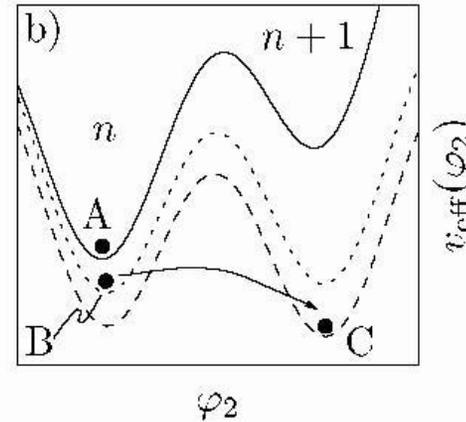
- A Heavy junction localized.
- B Barrier higher with lower  $k$ .
- C Barrier is lowered by force of light junction, heavy junction escapes.

Extract from phase diagram.

- A' Heavy junction delocalized
- B' Barrier higher with reduced  $k$ .
- C' Heavy junction is trapped again.



- A Light junction at rest in minimum  $n$ .
- B No change.
- C As minimum  $n+1$  is lowered, it eventually tunnels.



- A' Light junction at rest in new global min.  $n+1$ .
- B' No change.
- C' No change.

# Different cases

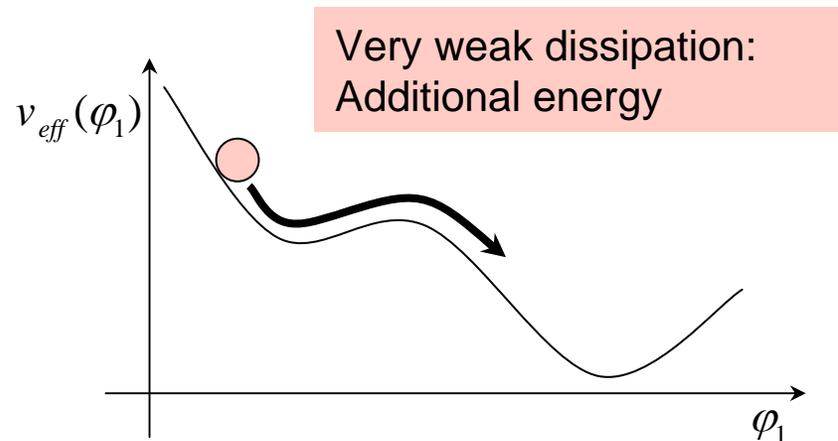
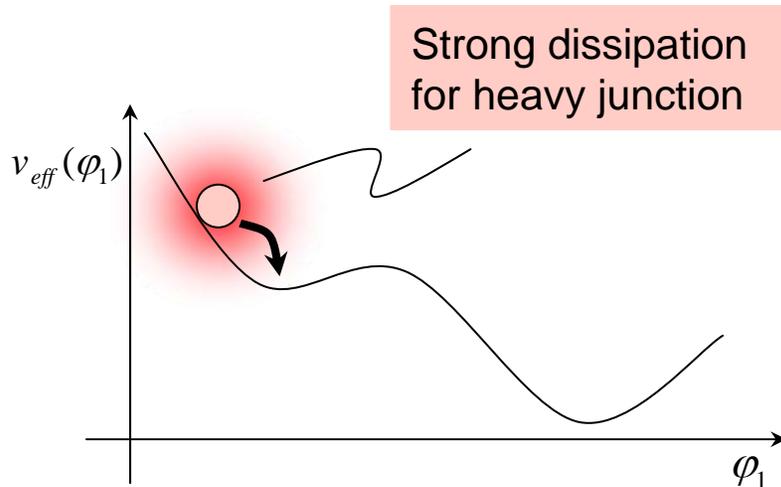
$$\alpha_2 = \eta_2 2\pi E_J / \hbar > 1.$$

Strong dissipation for small junction.

- Strong damping of heavy junction:
- Total system is in given local minimum of 2d-SQUID potential.
- Large junction is delocalized if minimum becomes unstable.

For very weak damping of heavy junction:

- Effective force of small junction lowers barrier for heavy junction,
- and shifts the local minimum.
- Heavy junction has additional initial energy (plasma oscillations), is delocalized at lower bias current.

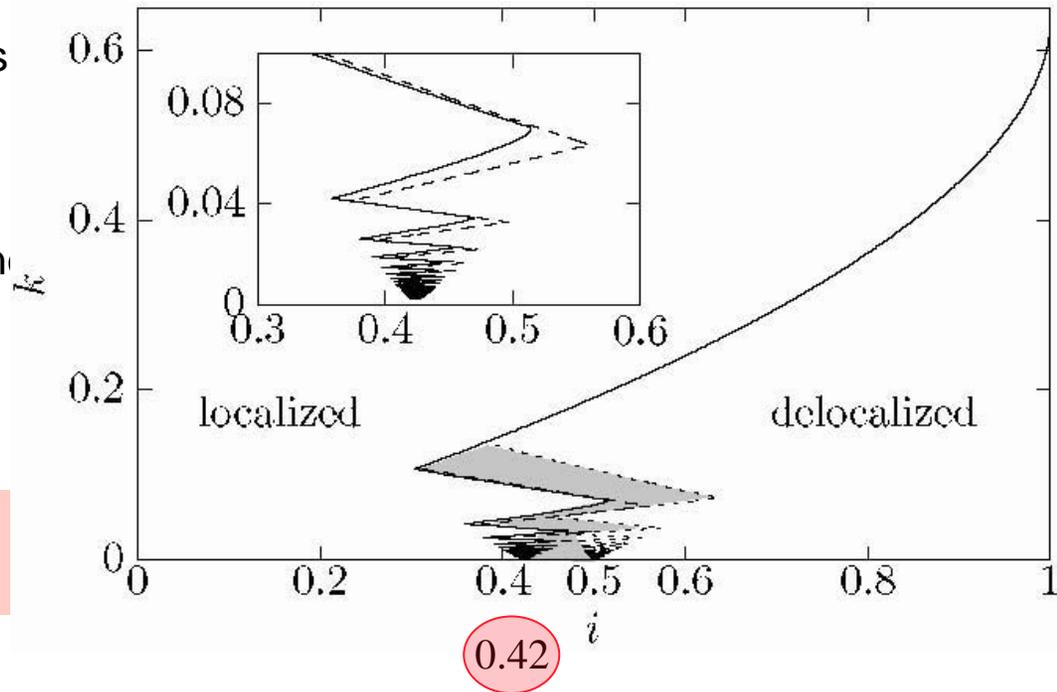
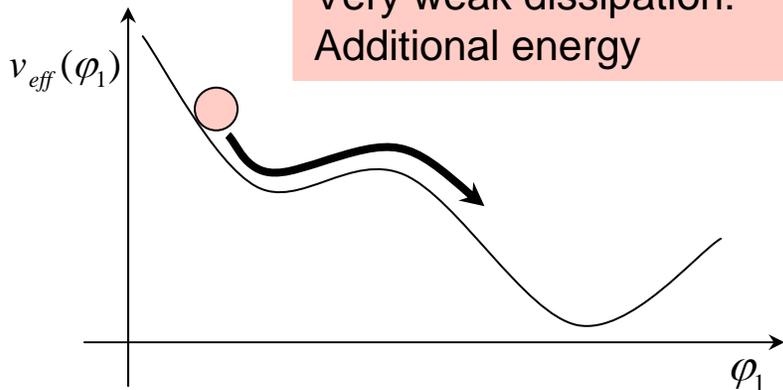


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Very weak dissipation:  
Additional energy



# Summary and Outlook

SQUID with **symmetric potential**.

Junction 1 heavy/classical:  
**No tunneling**.

Junction 2 light/quasi-classical:  
**Tunneling**.

Small junction, after tunneling,  
exerts **effective force** on heavy  
junction.

For sufficiently **high bias**/sufficiently  
**low inductance**: Total SQUID in finite  
voltage state.

More general cases:

- Asymmetric critical currents.
- Geometrically asymmetric SQUIDS (asymmetric inductance).
- Additional external magnetic flux threading the ring (easily tunable parameter).

Other projects include:

- Detailed analysis of the low-dissipation case.