

# **Resonating-valence-bond physics and topological order in two dimensions: from dimer models to high-temperature superconductivity**

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Collaboration with:

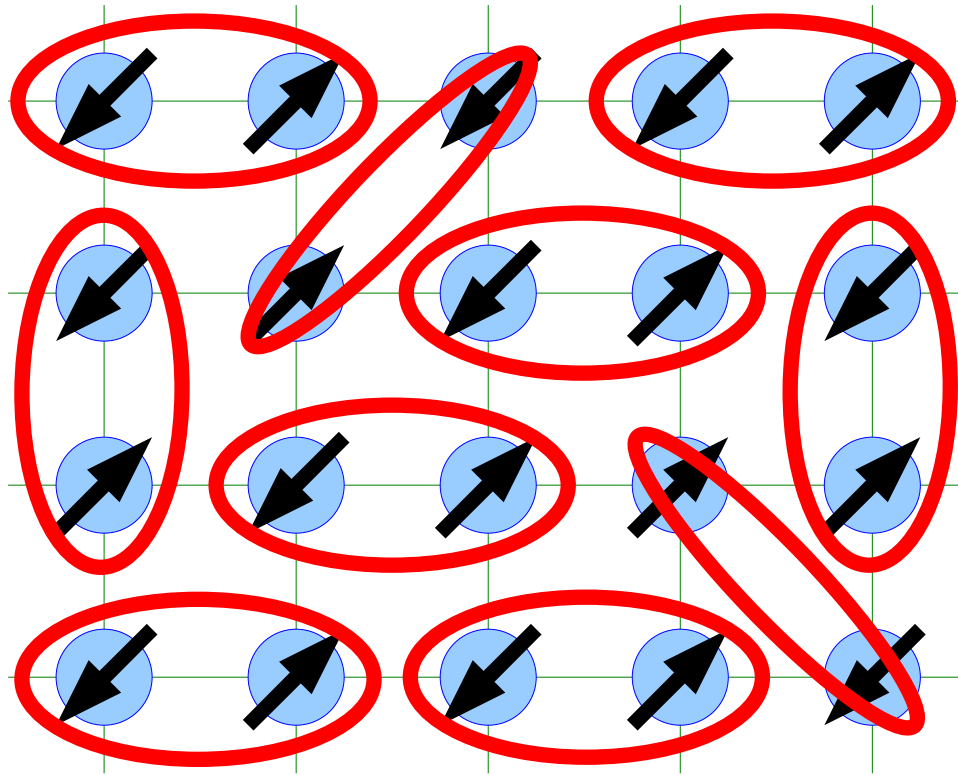
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# Resonating-valence-bond (RVB) state:



Electrons on the lattice  
(half-integer spin per unit cell)  
form short-range singlets  
(fluctuating = linear superposition  
of different singlet configurations)

## No symmetry breaking:

- (1) the wave function is a spin singlet (no spin symmetry breaking)
- (2) no preferred positions of singlets (no translational symmetry breaking)

Fazekas, Anderson, 1973-74: RVB states for frustrated antiferromagnets



Rokhsar, Kivelson, 1988: quantum dimer models



Read, Chakraborty, 1989: vortex-like excitations (named “visons”: Senthil, Fisher, 2000)



Moessner, Sondhi, 2001: RVB liquid phase in a quantum dimer model on the 2D triangular lattice



**Search for realistic (spin) models and materials realizing RVB scenario**

Anderson, 1987: RVB states for high-temperature superconductivity



Zhang, Gros, Rice, Shiba, 1988: Gutzwiller-projected wave functions as RVB states for high-T<sub>c</sub>



Anderson, Lee, Randeria, Rice, Trivedi, Zhang, 2004: “Plain vanilla” RVB

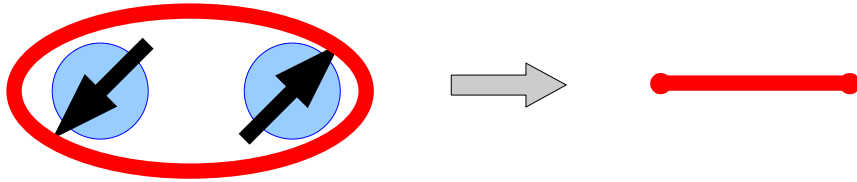


**Consequences of RVB physics in high-temperature superconductivity?**

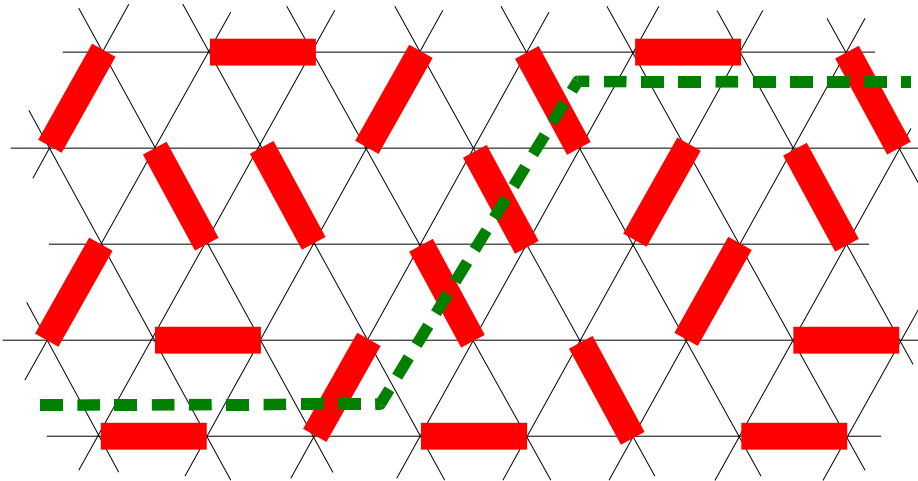
# Outline:

1.  $Z_2$  topological order and vortex-like excitations (“visons”)
2. Visons in dimer models
3. Visons in Gutzwiller-projected wave functions
4. Generalization of the RVB construction: loop path integral
5. Summary, comments, and questions.

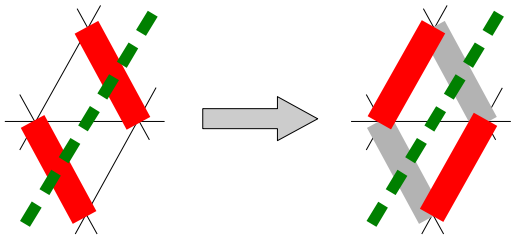
# $Z_2$ topological order



From singlets – to dimers  
(neglecting the overlap!)



New type of conservation law  
emerges: for any contour, **local**  
rearrangement of dimers does not  
change the **parity** of the number  
of intersecting dimers ( $Z_2$  index)



this conservation law does not  
depend on the properties of the lattice  
or on the type of **local** rearrangement

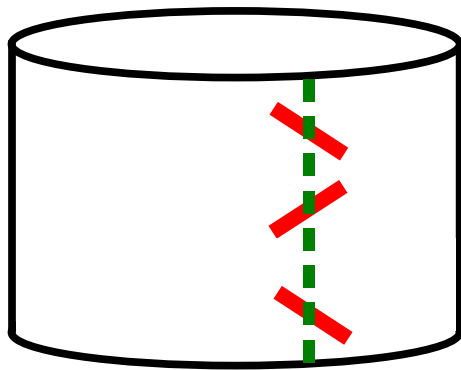
[ for bipartite lattices, additional conservation laws,  $Z_2 \rightarrow U(1)$  ]

# Topological degeneracy on multiply connected domains

For multiply connected domains (cylinder, torus, plane with holes, etc.), this conservation law implies splitting of the Hilbert space into several disconnected subspaces

**Assuming the absence of dimer crystallization** (all correlations are exponentially decaying), this leads to a topological degeneracy in the thermodynamic limit (system size  $L \rightarrow \infty$ )

Example: cylinder



Two topological sectors:  
**even** / **odd** with identical  
properties (ground state  
and excitations)

# Criteria of topological order for RVB states

On a multiply connected domain:

Degenerate states  $|A\rangle$  and  $|B\rangle$  should obey

1. **identical local properties:**  $\langle A|X|A\rangle = \langle B|X|B\rangle$

for any local operator  $X$  (in the limit  $L \rightarrow \infty$  )

2. **orthogonality:**  $\langle A|X|B\rangle = 0$

again, for any local operator  $X$  (in the limit  $L \rightarrow \infty$  )

For dimer models, the condition 2. is automatically satisfied, the condition 1. is related to the absence of crystallization.

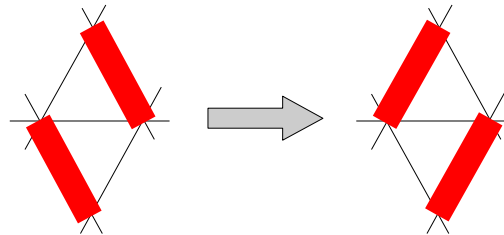
For RVB states with spin, the condition 2. is nontrivial (related to the absence of spin ordering).

# Example: Rokhsar-Kivelson model on the triangular lattice

$$H_{RK} = \sum \left( -t \left| \begin{array}{c} \vdots \\ \vdots \end{array} \right\rangle \left\langle \begin{array}{c} \vdots \\ \vdots \end{array} \right| + v \left| \begin{array}{c} \vdots \\ \vdots \end{array} \right\rangle \left\langle \begin{array}{c} \vdots \\ \vdots \end{array} \right| \right)$$

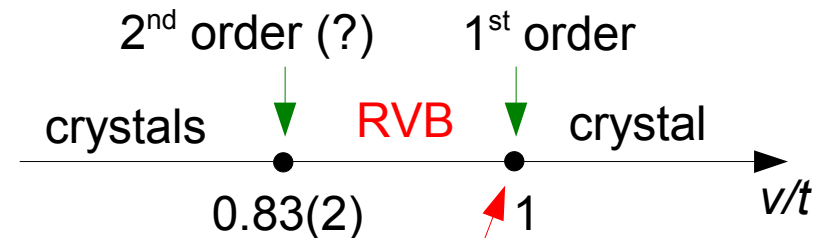
sum over all rhombi of the triangular lattice

flips



potential term

Phase diagram [Moessner, Sondhi, 2001; Ralko, Ferrero, Becca, D.I., Mila, 2005-07]:



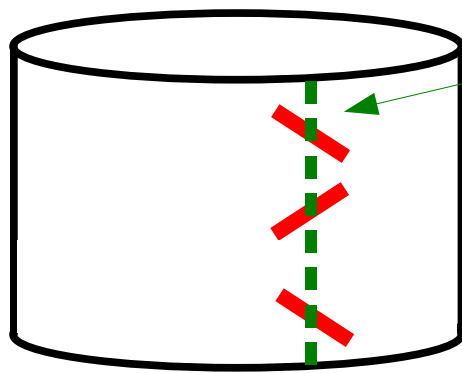
Topological order explicitly proven  
[Ioselevich, D.I., Feiguin]:

$$\langle A|X|A\rangle - \langle B|X|B\rangle \propto e^{-L/\xi}$$

A special “**RK point**” (ground state is exactly known and correlations can be computed)



# Topological order → vortex-like excitations



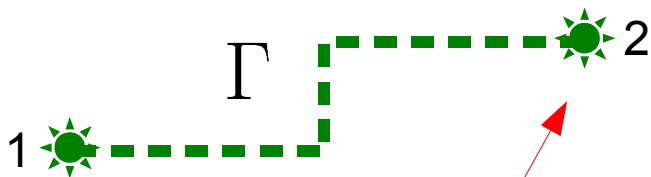
$N_\Gamma$  – number of intersections with the contour  $\Gamma$

“vison” operator:  $V = (-1)^{N_\Gamma}$

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \text{ in the even-odd basis}$$

The topological degeneracy implies a new type of excitations:  $Z_2$  vortices (“visons”)

A prototype of a vison:

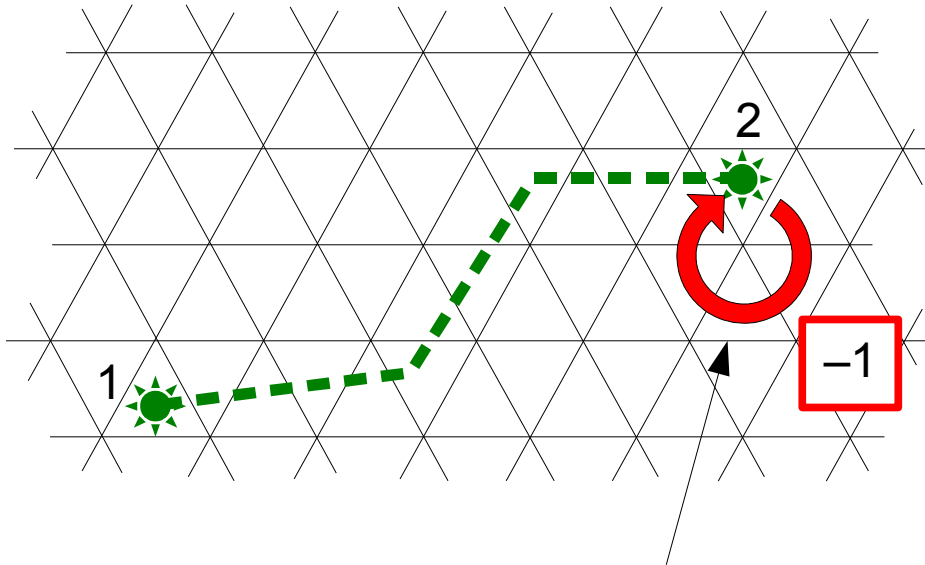


Now end points are inside two lattice plaquettes (triangles)

$$V_1 \cdot V_2 = (-1)^{N_\Gamma}$$

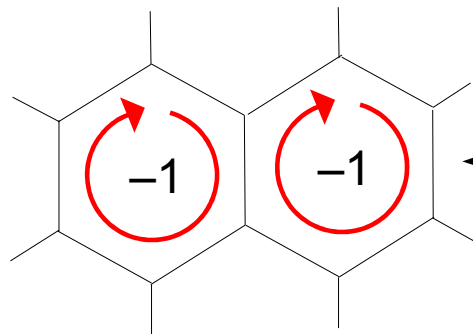
Two vortices (nonlocal) should be “dressed” with local dimer operators to become eigenstates

# Example: visons in the RK dimer model on the triangular lattice



At the RK point ( $v=t$ ), the excitation spectrum may be computed by using the equivalence between quantum mechanics in imaginary time and a **classical** stochastic process (modeling a classical 2D system instead of a quantum 2+1D) [C.Henley, 2003]

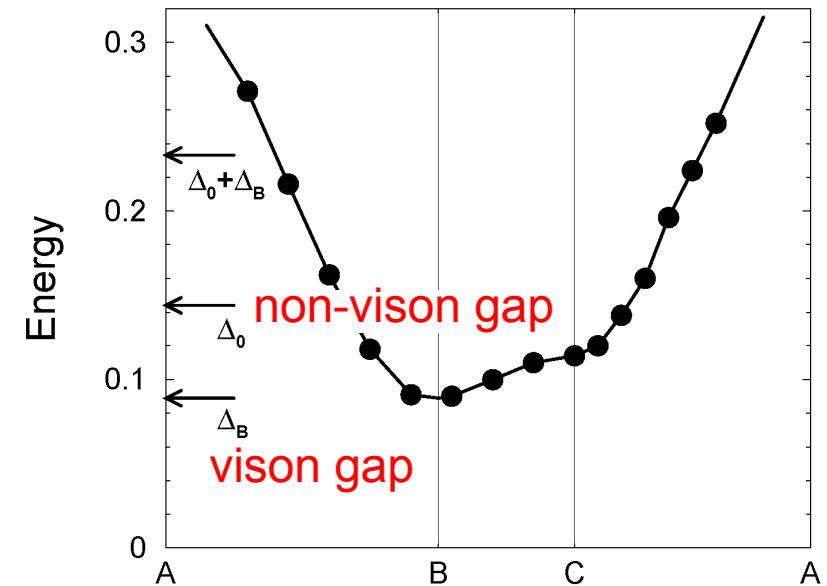
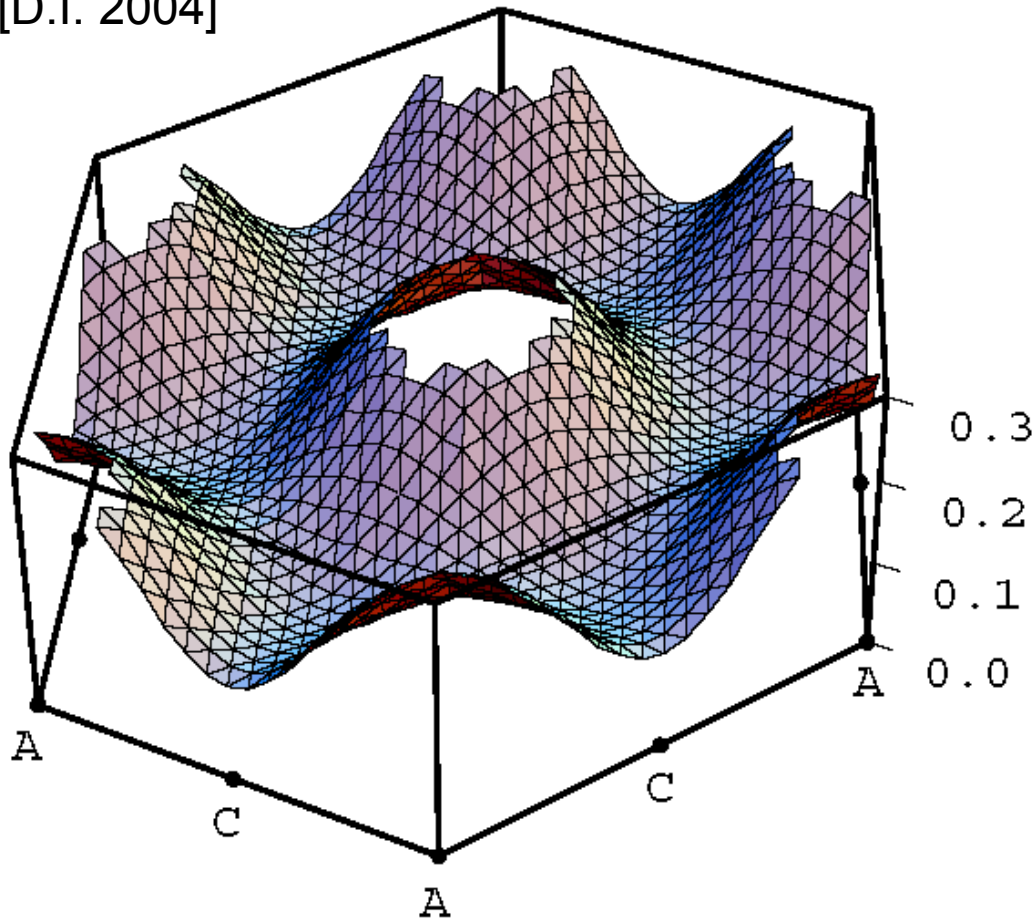
Note that because of the contour attached, visons live on a dual lattice (hexagonal) **with frustration**.



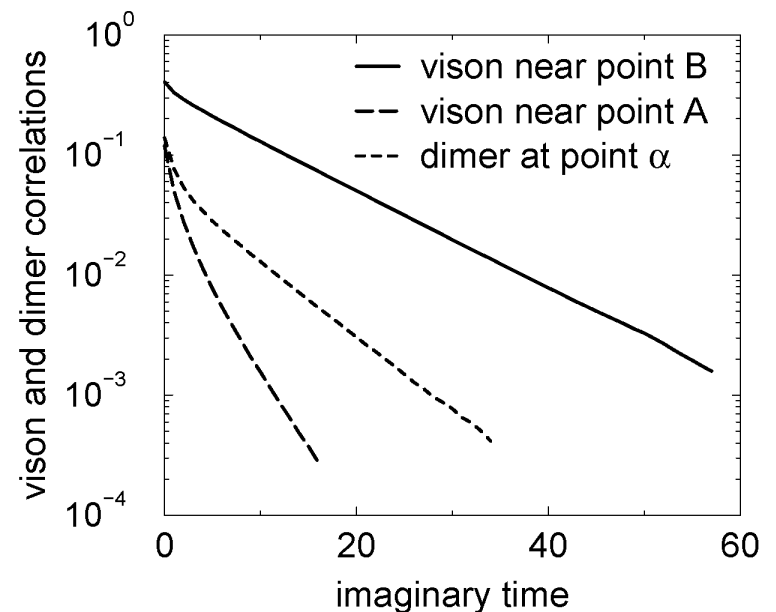
Half flux per hexagon for visons

# Vison gap and spectrum at the RK point

[D.I. 2004]

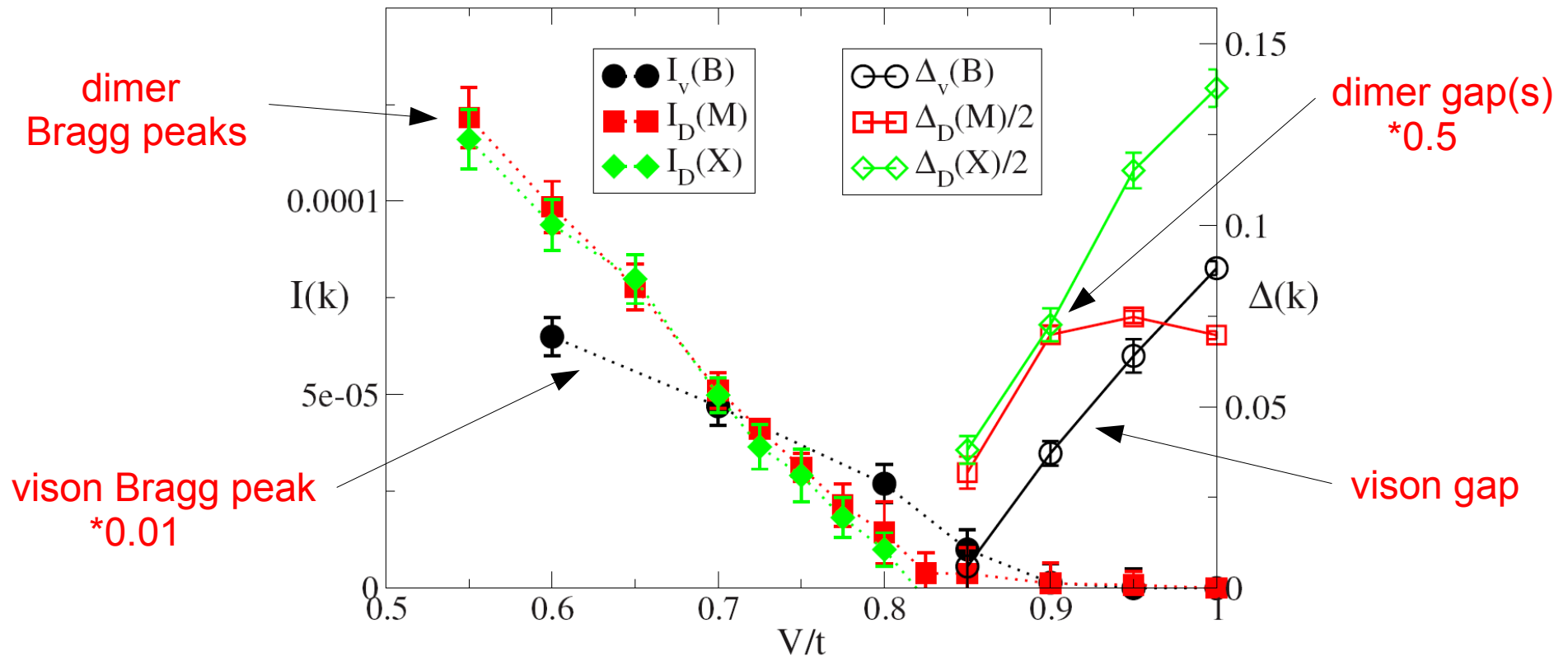


1. Non-trivial vison dispersion
2. Visions are indeed **elementary** (lowest **excitations** [non-vison gap is higher])



# Vison gap away from the RK point and the crystallization transition

[Ralko, Ferrero, Becca, D.I., Mila, 2007] -- Green's function Monte Carlo, 432-site cluster



Second-order phase transition  
[conjectured by Moessner and Sondhi, 2001]

## Summary 1:

1. In the RVB state, **visons are elementary excitations** which carry no spin and no charge.
2. **Visons** appear as a consequence of **topological order** (degeneracy depending on the connectivity of the cluster).
3. Visons can be modeled in quantum dimer systems, where various crystallization transitions are possible.

## Question:

Can we find visons in systems with spin and charge degrees of freedom?

**Hint:** possibly in **Gutzwiller-projected wave functions**

# Gutzwiller-projected (GP) construction for [doped] Mott insulators

Physical Hamiltonian: spin or t-J (on a lattice)

t-J model [for high-temperature superconductivity]:

$$H_{\text{phys}} = \underbrace{P_G}_{\text{projector}} \left( \underbrace{-t \sum_{ij} (c_i^\dagger c_j + c_j^\dagger c_i)}_{\text{hopping}} \right) \underbrace{P_G}_{\text{projector}} + J \sum_{ij} (\underbrace{\vec{S}_i \vec{S}_j}_{\text{spin interaction}} - \frac{1}{4} n_i n_j)$$

Projectors onto no-double-occupancy states  
(two electrons on one lattice site are prohibited)

hopping

spin interaction

reduces to a Heisenberg spin Hamiltonian at “half filling”:

$$H_{\text{phys}} = \sum_{ij} J_{ij} \vec{S}_i \vec{S}_j \quad (\text{possibly frustrated, depending on the couplings } J_{ij})$$

For frustrated antiferromagnets (either Heisenberg frustration or effective frustration by mobile holes), Gutzwiller projection often provides a good variational ground-state ansatz

## GP construction:

1. Take a BCS wave function:  $\Psi_{\text{BCS}} = \prod_k \left( u_k + v_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \right) |0\rangle$
2. Project onto no-double-occupancy states:  $\Psi_{\text{GP}} = P_G \Psi_{\text{BCS}}$
3. Calculate (numerically) energy  $E(\chi, \Delta) = \langle \Psi_{\text{GP}} | H_{\text{phys}} | \Psi_{\text{GP}} \rangle$
4. Optimize variational parameters  $(\chi, \Delta)$  to minimize it.

Gutzwiller-projected states are:

- (1) known to provide a good variational ansatz for systems with magnetic frustration (t-J model, J1-J2 Heisenberg model, Heisenberg model on the kagome lattice, etc.)
- (2) suggested to have a RVB structure [Anderson, 1987]

Do they also have topological order and visons?

# Testing for topological order in GP wave functions

[D.I., Senthil, 2002]

Instead of even-odd sectors (for dimers), the **topological sectors** (if any) are realized by projecting BCS states with **periodic** or **antiperiodic** boundary conditions for fermions.

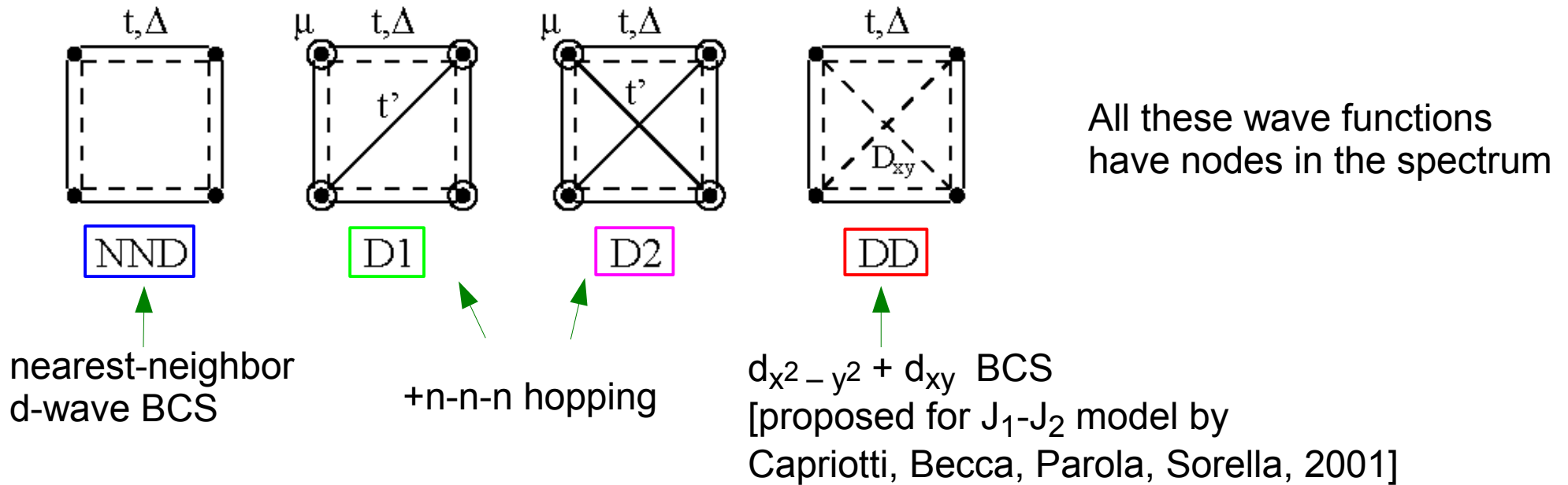
For the spin system (undoped), this produces two wave functions  $|+\rangle$  and  $|-\rangle$  for the same spin Hamiltonian (the spin system have the same boundary conditions).

Both criteria of the topological order must be checked:

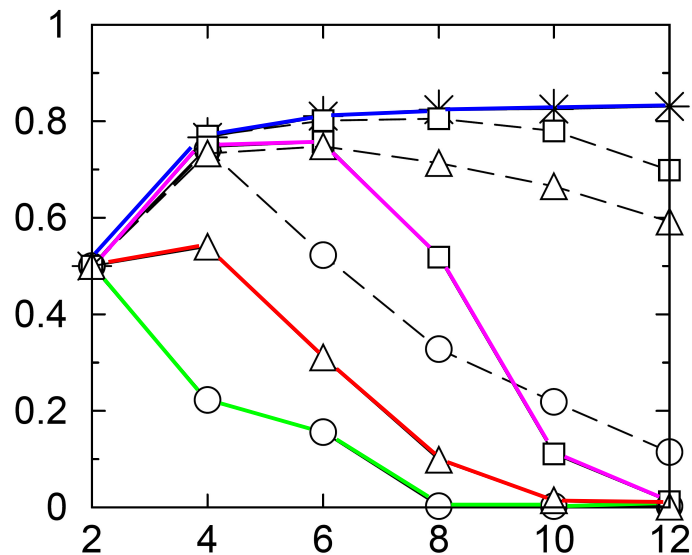
1.  $\langle + | X | - \rangle \rightarrow 0$  [~ no singlet crystallization ]
2.  $\langle + | X | + \rangle - \langle - | X | - \rangle \rightarrow 0$  [~ no spin ordering ]



# Numerically testing four wave functions [D.I., Senthil, 2002]:



## Test 1: overlaps $\langle + | - \rangle$

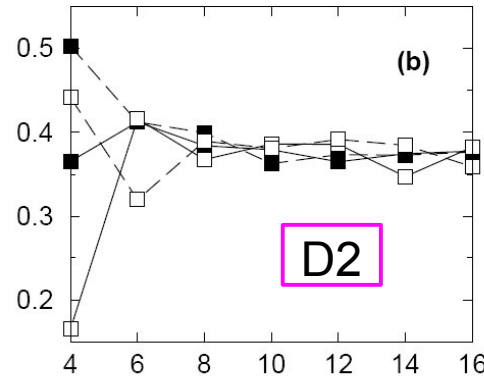
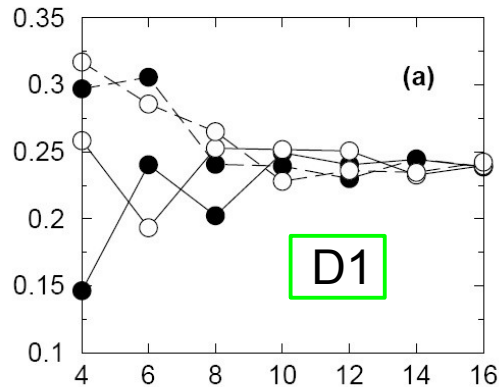


NND does not pass the test, the other three wave functions do!

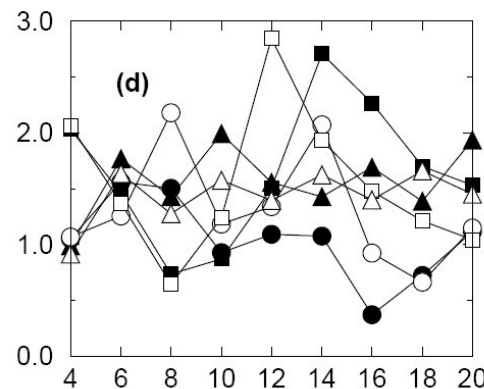
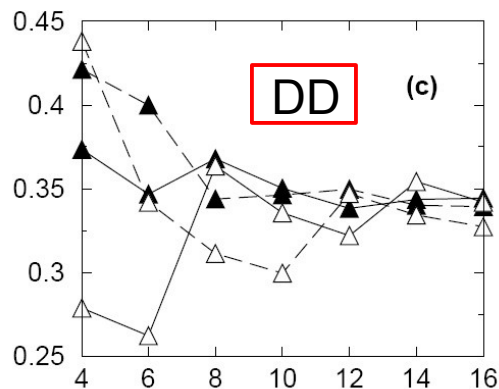
(linear system size)

## Test 2: nearest-neighbor correlations in different topological sectors

Spin correlations:  $\langle S_i S_j \rangle_{\text{top. sector}}$



(four topological sectors on a torus)



Mean-square deviation among four sectors

$$\Delta S \cdot L^2$$

(linear system size)

Convergence between topological sectors is only **algebraic**  
– because of **nodes** in the wave functions:

$$\langle + | S_i S_j | + \rangle - \langle - | S_i S_j | - \rangle \propto L^{-\alpha}, \quad \alpha \sim 2$$

## Summary 2:

1. **Gutzwiller-projected wave functions** may exhibit **topological order** (depending on the symmetries of the wave function ?  
D.I., Senthil, 2002 :  $Z_2$  vs.  $U(1)$   
T.Li, Yang, 2004: bipartite vs. non-bipartite  
-- needs to be resolved)
2. For projected BCS states with nodes, the topological order is “weak” (**algebraic**).
3. **Visons** are projected **BCS vortices** [on doping into a superconducting state, they should become superconducting vortices]

## Comment:

The RVB structure of GP wave functions may be described in terms of a “**loop path integral**”

# Loop path integral: RVB states

For wave functions composed of singlets with range-dependent amplitudes, the expectation values may be written in terms of a “loop path integral”:

“partition function”:  $\langle \Psi | \Psi \rangle = \sum_{\{C_n\}} \prod_n A(C_n)$

← loop amplitudes

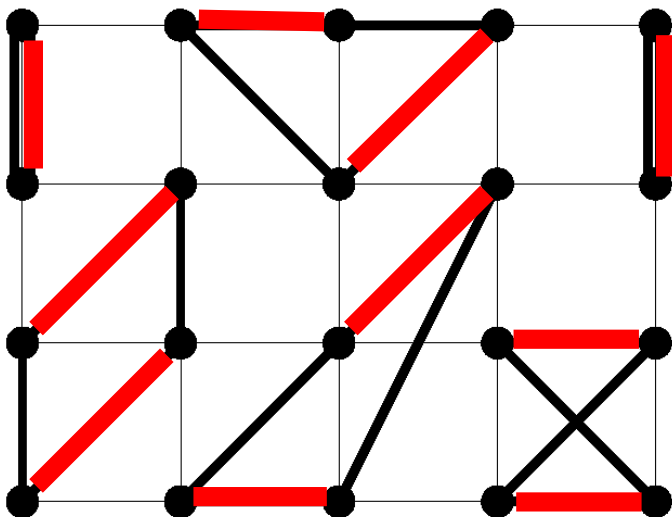
↑  
sum over all loop coverings

For RVB construction  
(overlaps of spin singlets):

$$A(C) = -2 a_{12} a_{23} \dots a_{k1}$$

↑  
fermionic minus sign  
(for “fermionic” RVB)

↑  
singlet amplitudes



The “loop path integral” has a **wider range of validity**.  
It unifies all RVB-like constructions of wave functions:

$$\langle \Psi | \Psi \rangle = \sum_{\{C_n\}} \prod_n A(C_n)$$

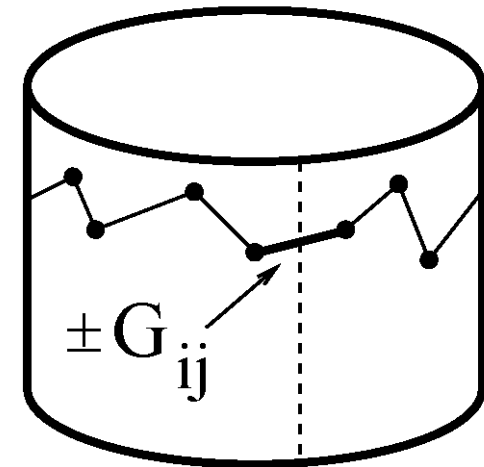
1. RVB state composed of singlets:  $A(C) = -2 a_{12} a_{23} \dots a_{k1}$
2. Rokhsar-Kivelson dimer state:  $A(C) = \begin{cases} 1 & \text{for length-two loops} \\ 0 & \text{otherwise} \end{cases}$
3. Gutzwiller-projected BCS wave functions  
(possibly with nodes) [D.I. 2005]:

$$A(C) = -\text{Tr } G_{12} G_{23} \dots G_{k1}$$

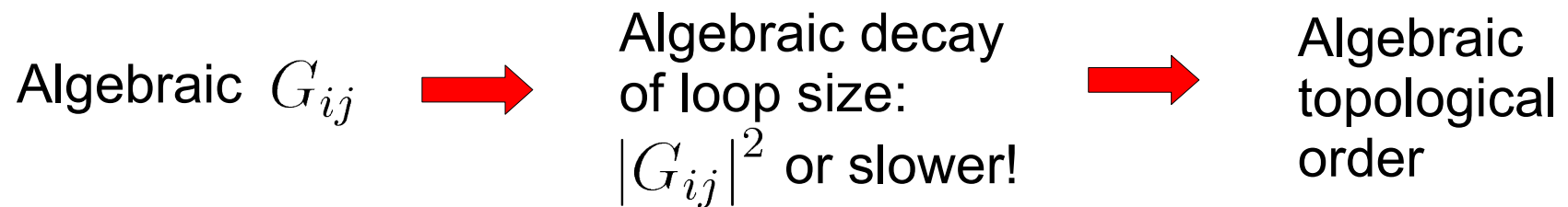


2x2 matrices: equal-time BCS Green functions

Topological order may be formulated  
in terms of loop behavior  
(topological sectors  $\leftrightarrow$  assigning  $\pm$  sign  
for globally winding loops)



The two conditions of topological order may be understood as  
(1) loops are short ranged and  
(2) loops do not crystallize



Analytic study of loop correlations is difficult because of  
the close-packing constraint

# RVB state in doped systems

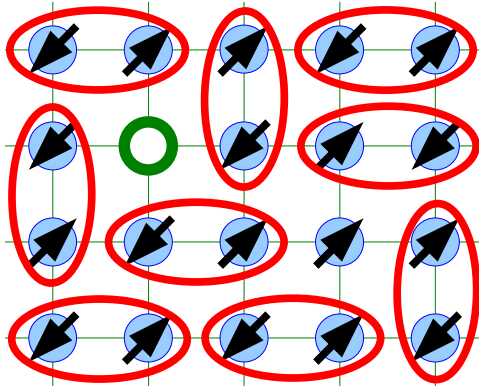
(with charge and spin degrees of freedom)



???



spin-charge separation



or

Gutzwiller-projected quasiparticles  
(renormalized BCS)

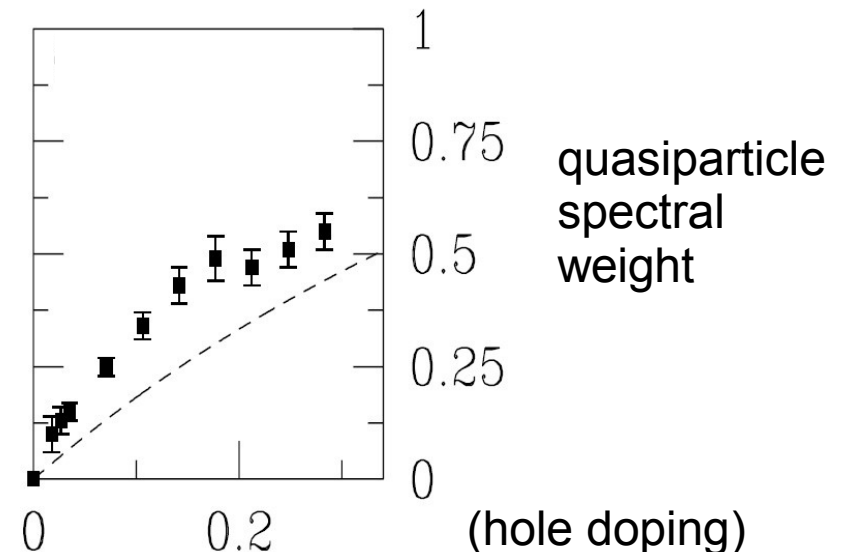
$$|\Psi_k\rangle = P_G \gamma_k |\text{BCS}\rangle$$

Have reduced spectral weight  
[Paramakanti, Randeria, Trivedi, 2001]

Static monomer correlation function  
in the RK dimer model on the triangular  
lattice indicates hole deconfinement  
[Fendley, Moessner, Sondhi, 2002]

partition function with two holes

$$\lim_{|x_1 - x_2| \rightarrow \infty} \frac{Z(x_1, x_2)}{Z} > 0$$



# Summary and outlook

1. **RVB** states are characterized by the  **$Z_2$  topological order** (topological degeneracy + vortex-like excitations).
2. **Quantum dimer models** can be used as toy models for studying chargeless and spinless degrees of freedom of RVB.
3. **Gutzwiller-projected wave functions** have a RVB-like structure even when projecting BCS states with nodes, but the topological order, if present, is only “**algebraic**”.

## Questions:

1. What happens to topological order upon **doping**? Are there any traces of  $Z_2$  topological order in **high- $T_c$  superconductors**?  
[conjecture by Senthil and Fisher, 2001: visons in the pseudogap state  
→ not observed so far]
2. Can **realistic spin models** (e.g. frustrated Heisenberg models) have RVB phase?