

# **Giant Nernst effect due to fluctuating Cooper pairs in superconductors**

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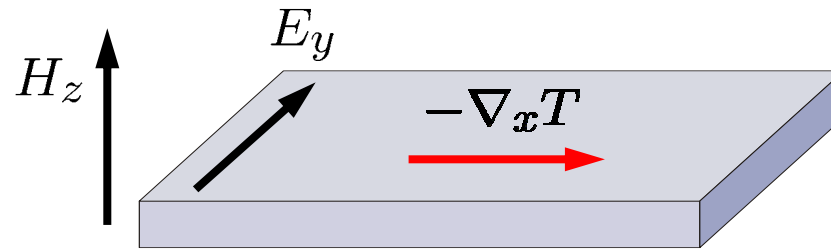
# Nernst-Ettingshausen effect

A. V. Ettingshausen and W. Nernst (1886)



Walther Nernst  
(1864–1941)

Nobel Prize  
in Chemistry (1920)  
for thermoelectricity



$$\begin{cases} j_\alpha^e = \sigma_{\alpha\beta} E_\beta - \beta_{\alpha\beta} \nabla_\beta T \\ j_\alpha^Q = \gamma_{\alpha\beta} E_\beta - \kappa_{\alpha\beta} \nabla_\beta T \end{cases}$$

**Nernst coefficient:**

$$\nu_N = \frac{E_y}{(-\nabla_x T) H_z} = \frac{1}{H} \frac{\beta_{xy} \sigma_{xx} - \beta_{xx} \sigma_{xy}}{\sigma_{xx}^2 + \sigma_{xy}^2}$$

# Nernst effect in normal metals

E. H. Sondheimer (1948)

$$\nu_N = \frac{1}{H} \frac{\beta_{xy}\sigma_{xx} - \beta_{xx}\sigma_{xy}}{\sigma_{xx}^2 + \sigma_{xy}^2}$$

In metals, the thermoelectric tensor  $\beta_{\alpha\beta}$  is due to the **particle-hole asymmetry**:

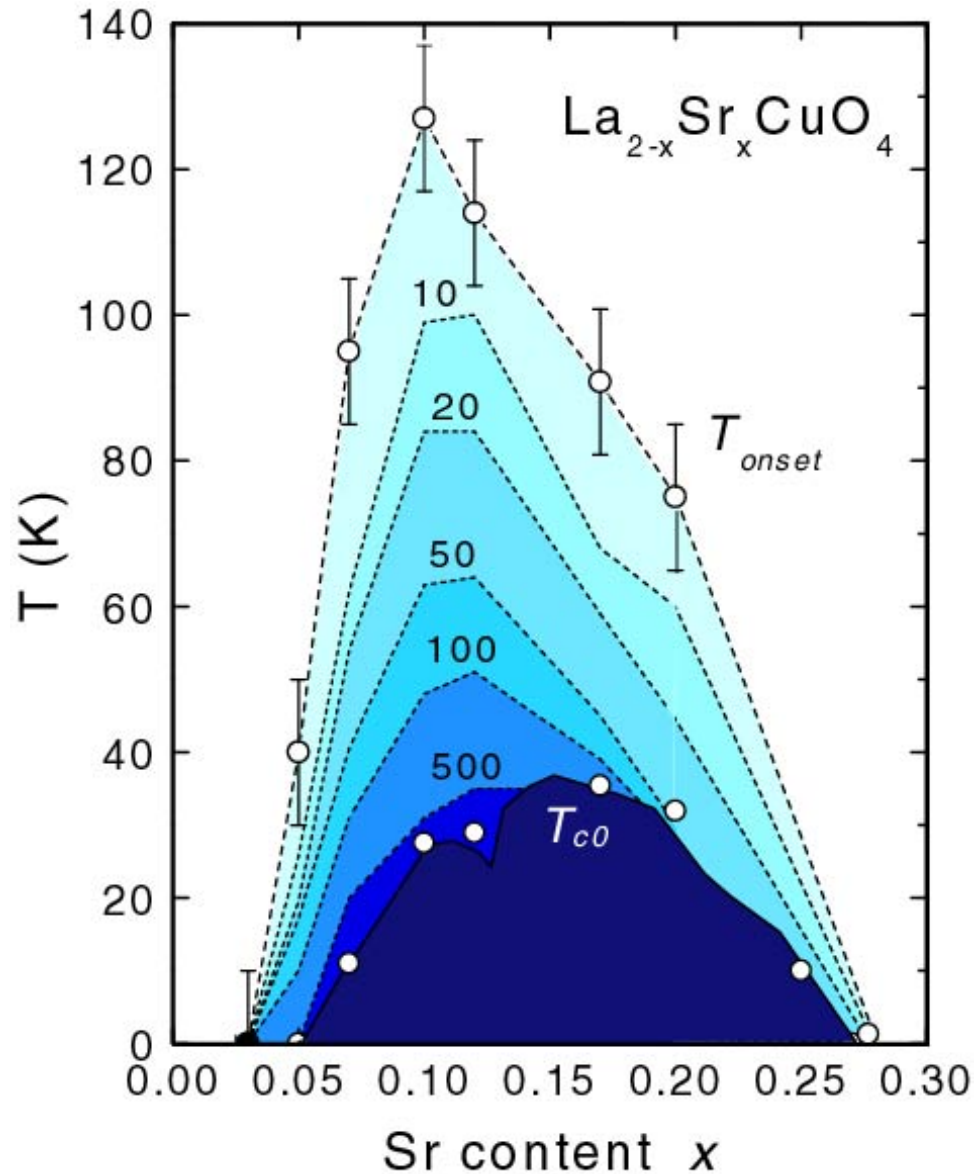
$$\beta_{\alpha\beta} = \frac{\pi^2 cT}{3 e} \left. \frac{\partial \sigma_{\alpha\beta}}{\partial \mu} \right|$$

$$\nu_N \approx \frac{1}{H} \frac{\beta_{xy}\sigma_{xx} - \beta_{xx}\sigma_{xy}}{\sigma_{xx}^2} = \frac{\pi^2 cT}{3 eH} \frac{\partial}{\partial \mu} \left( \frac{\sigma_{xy}}{\sigma_{xx}} \right) = \frac{\pi^2 cT}{3 eH} \left. \frac{\partial(\omega_c \tau)}{\partial \mu} \right|$$

Sondheimer formula: 
$$\nu_N = \frac{\pi^2 T}{3 m} \left. \frac{\partial \tau(\epsilon)}{\partial \epsilon} \right|_{\epsilon=\mu}$$

# **Nernst effect in superconductors**

# Nernst effect in cuprates



Y. Wang et al. (2001)

$\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

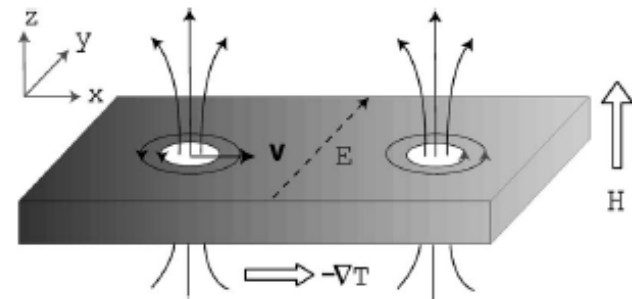
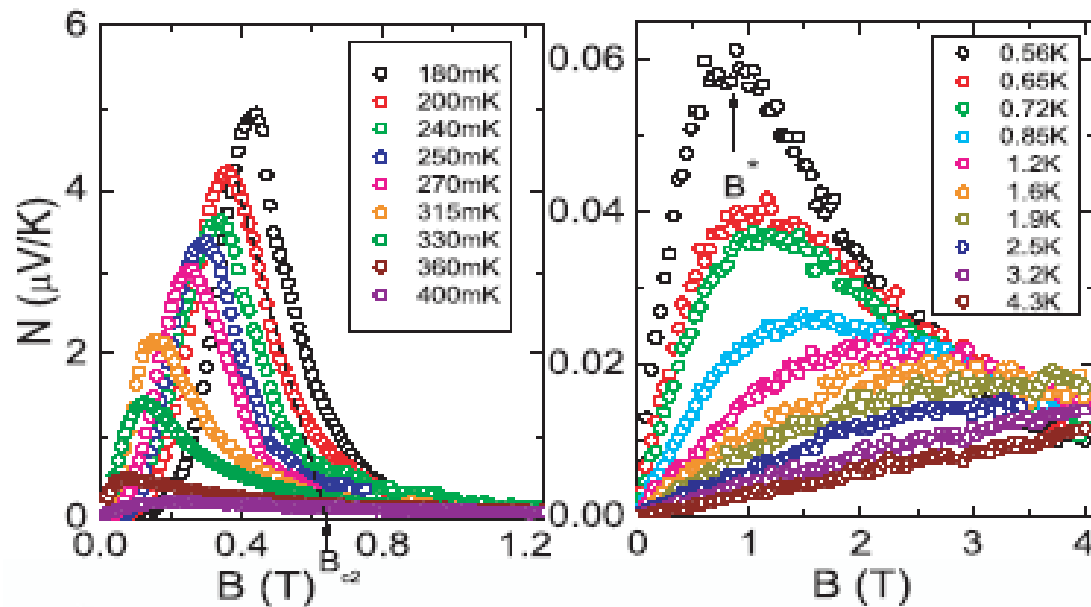


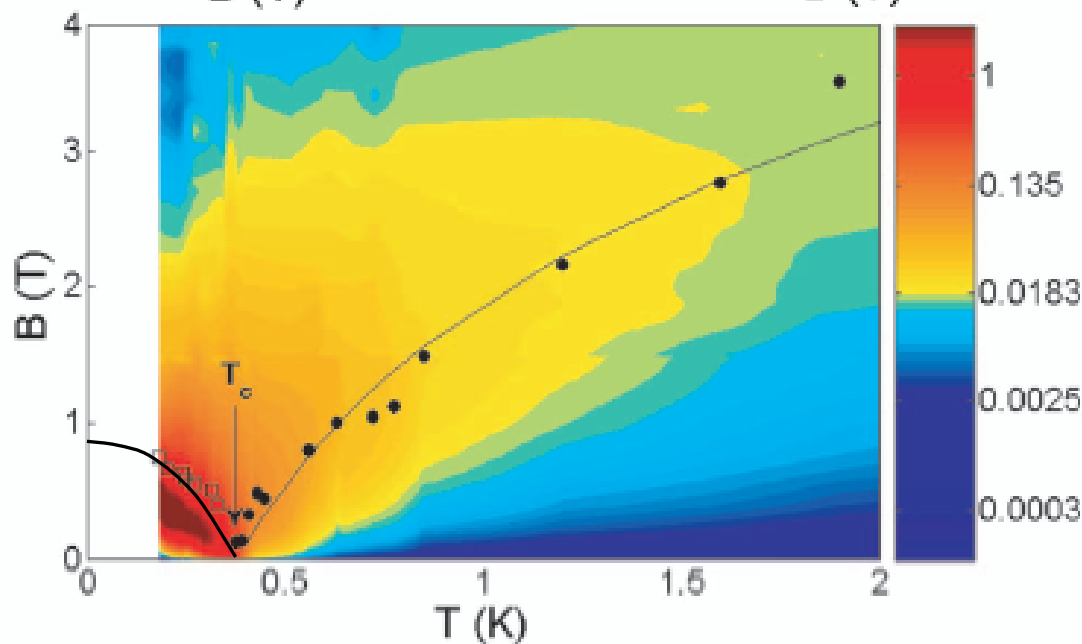
FIG. 1. The vortex-Nernst effect in a type-II superconductor. Concentric circles represent vortices.

# Nernst effect in conventional superconductors



A. Pourret et al.,  
Nature Phys. (2006);  
Phys. Rev. B (2007)

Nb<sub>0.15</sub>Si<sub>0.85</sub> film

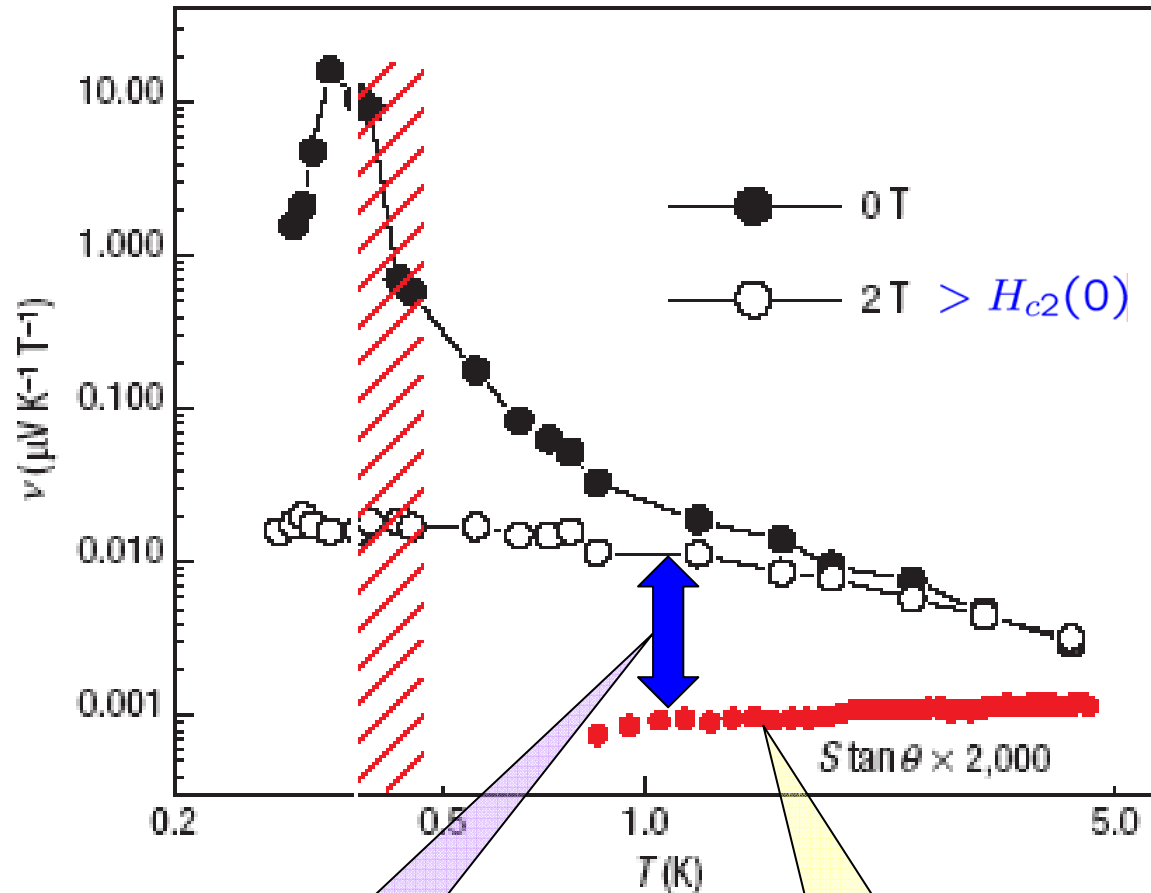


thickness  
 $d = 35$  nm

$T_c = 0.38$  K

$R_{\square} = 350$  Ohm

# Nernst effect in conventional superconductors



A. Pourret et al.,  
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thickness  
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$T_c = 0.38$  K

$R_{\square} = 350$  Ohm

a factor of  
10 000

normal-state  
estimate  
 $\times 2\,000$

# Origin of the Nernst effect in NbSi?

A. Pourret et al., Nature Phys. (2006);

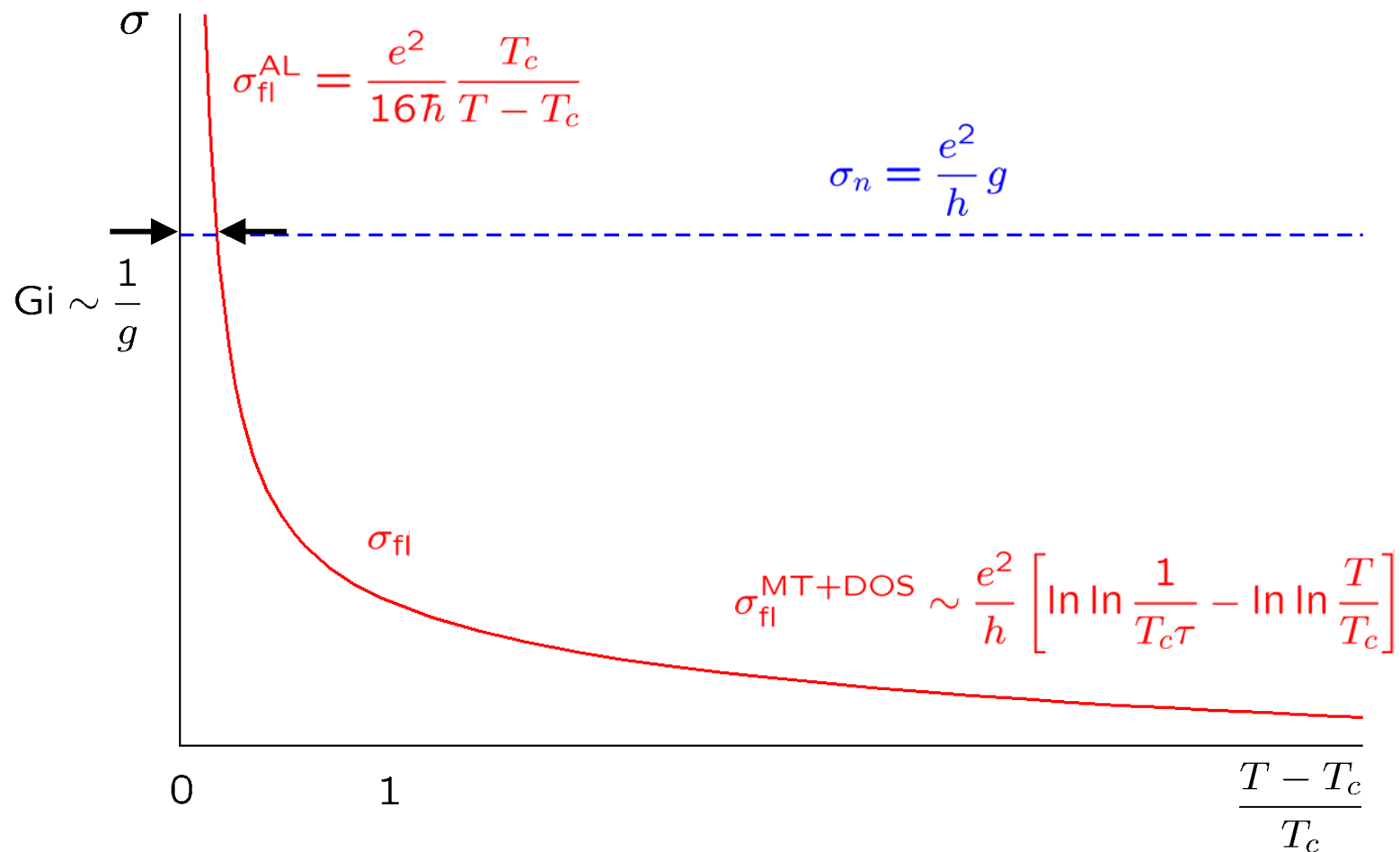
Could this signal be caused by phase fluctuations of the superconducting order parameter? This is also unlikely. In contrast to the underdoped cuprates, the carrier density in  $\text{Nb}_{0.15}\text{Si}_{0.85}$  is comparable to any conventional metal. As the 'phase stiffness' of a superconductor is determined by its superfluid density<sup>19</sup>, there is no reason to speculate on the presence of preformed Cooper pairs without phase coherence in a wide temperature window above  $T_c$  as has been the case in the pseudogap state of the cuprates. In contrast to granular superconductors<sup>20</sup>, decreasing the thickness leads to a shift of the sharp superconducting transition and does not reveal a temperature scale other than the mean-field BCS (Bardeen–Cooper–Schrieffer) critical temperature. The variation of  $T_c$  with thickness has been attributed to the enhancement of the Coulomb interactions with the increase in the sheet resistance,  $R_{\text{square}}$  (ref. 21).

On the other hand, there is no reason to doubt the presence of amplitude fluctuations of the superconducting order parameter

# **Fluctuation effects in conventional superconductors**

# Fluctuation corrections to conductivity (2D)

Aslamazov–Larkin + Maki–Thompson + Density-of-states

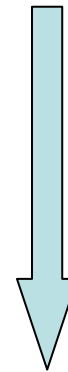


# Fluctuation corrections to thermoelectricity

Close to  $T_c$ :

$$\beta_n \propto \begin{pmatrix} \frac{T}{E_F} & \frac{T}{E_F} \omega_c \tau \\ -\frac{T}{E_F} \omega_c \tau & \frac{T}{E_F} \end{pmatrix}$$

$$\beta_{\text{fluct}} \propto \begin{pmatrix} \frac{T}{E_F} \ln \frac{T_c}{T - T_c} & \frac{T_c}{T - T_c} \frac{H}{H_{c2}(0)} \\ -\frac{T_c}{T - T_c} \frac{H}{H_{c2}(0)} & \frac{T}{E_F} \ln \frac{T_c}{T - T_c} \end{pmatrix}$$



$$\nu_N = \frac{\beta_{xy}}{H \sigma_{xx}}$$

# Role of magnetization

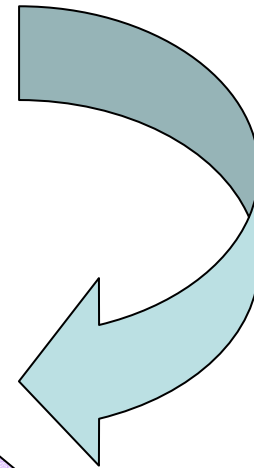
microscopic  
heat current

transport  
heat current

magnetization  
heat current

N. R. Cooper,  
B. I. Halperin,  
I. M. Ruzin  
(1997)

$$\mathbf{j}^Q = \mathbf{j}_{\text{tr}}^Q + c\mathbf{M} \times \mathbf{E}$$



$$\beta^{\alpha\beta} = \tilde{\beta}^{\alpha\beta} + \epsilon^{\alpha\beta\gamma} \frac{cM^\gamma}{T}$$

transport  
experiment

Kubo  
response

fluctuation  
magnetization

$$j^{Q\alpha} = T \tilde{\beta}^{\alpha\beta} E^\beta$$

# Vicinity of $T_c$ : GL approach

I. Ussishkin, S. L. Sondhi, D. A. Huse (2002)

$$\beta^{xy} = \beta_0 \frac{\pi e D H}{48 c (T - T_c)} \sim \beta_0 \frac{\xi^2(T)}{l_H^2}$$

thermoelectric  
conductance quantum

$$\beta_0 = \frac{k_B e}{\pi \hbar} = 6.68 \frac{\text{nA}}{\text{K}}$$

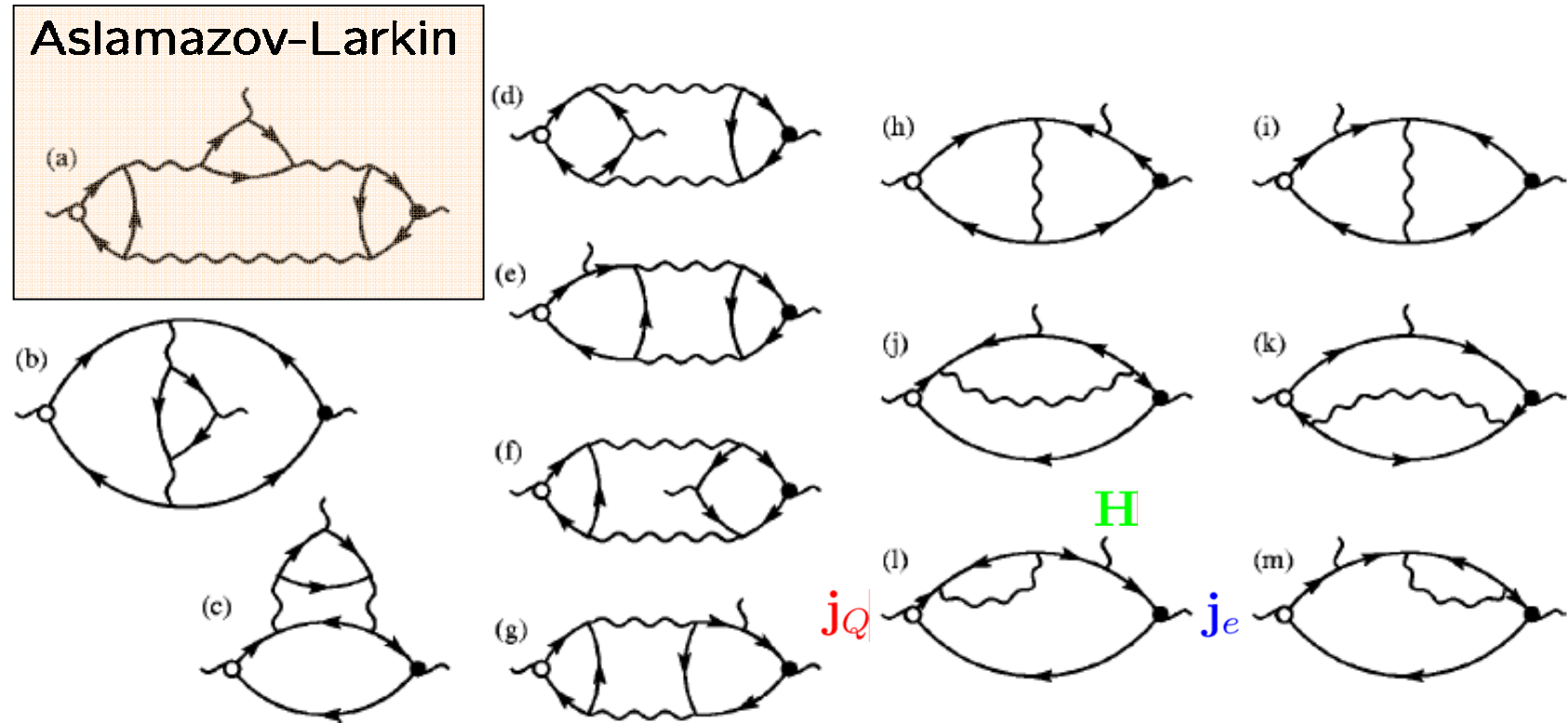
$$\beta^{xy} \propto 3 - 2$$

Kubo

magnetization

# Vicinity of $T_c$ : microscopic approach

I. Ussishkin, Phys. Rev. B (2003)

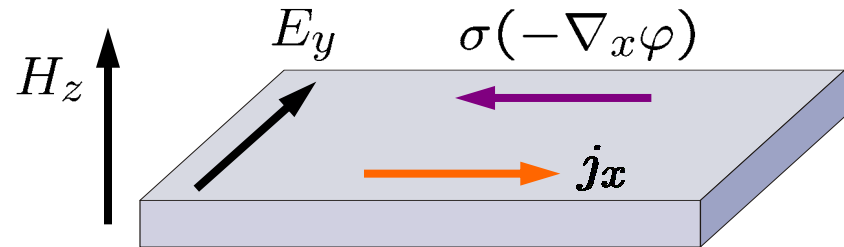


(cooperons are not shown)

# **Qualitative explanation of the Nernst effect**

# Nernst effect & chemical potential

- Drift velocity:  $\bar{v}_x = cE_y/H_z$
- Drift current:  $j_x = ne\bar{v}_x$
- Compensated by  $\nabla_x\varphi = j_x/\sigma$
- Electroneutrality:  $\nabla_x\mu = -e\nabla_x\varphi$
- Temperature gradient:  $\nabla_x\mu = (d\mu/dT)\nabla_xT$



$$\nu_N \equiv \frac{E_y}{(\nabla_x T)H_z} = -\frac{\sigma}{ne^2c} \frac{d\mu(T)}{dT}$$

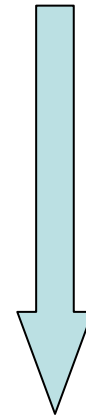
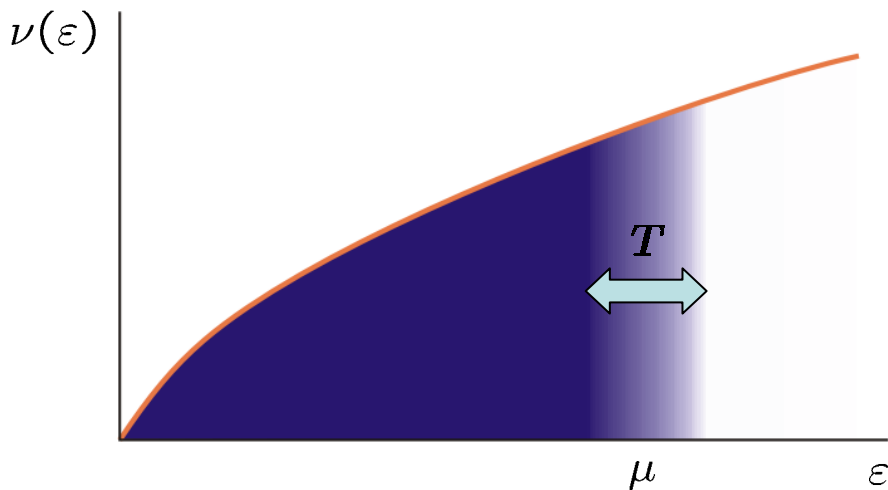
works for any types of carriers

# Nernst effect: degenerate Fermi gas

$$\nu_N = -\frac{\sigma}{ne^2c} \frac{d\mu(T)}{dT}$$

Chemical potential of a degenerate Fermi gas:

$$\mu(T) = \mu_0 - \frac{\pi^2}{6} T^2 \frac{d \ln \nu(\mu)}{d\mu}$$



$$\nu_N = -\frac{\pi^2 T}{3m} \frac{\partial \tau(\mu)}{\partial \mu} \sim \frac{\tau}{m} \left( \frac{T}{\mu} \right)$$

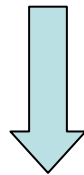
origin of smallness

# Nernst effect: fluctuating Cooper pairs near $T_c$

$$\nu_N = -\frac{\sigma}{ne^2c} \frac{d\mu(T)}{dT}$$

In the GL region close to  $T_c$ :

- chemical potential of Cooper pairs:  $\mu(T) = T_c - T$
- density of Cooper pairs:  $n = \langle |\Psi(\mathbf{r})|^2 \rangle = \frac{mT_c}{\pi} \ln \frac{T_c}{T - T_c}$
- paraconductivity:  $\sigma^{AL} = (e^2/16) T_c / (T - T_c)$



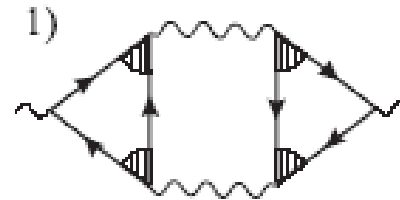
$$\nu_N \sim \frac{1}{mc(T - T_c)}$$

(Ussishkin result with an unknown coefficient;  
magnetization not taken into account)

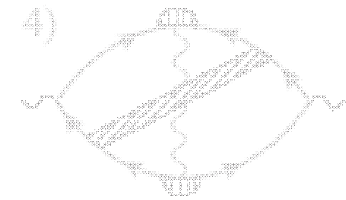
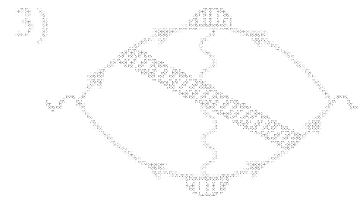
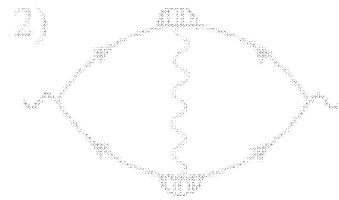
# **Complete microscopic theory of the fluctuation Nernst effect**

# Hierarchy of one-loop diagrams

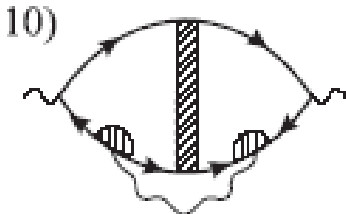
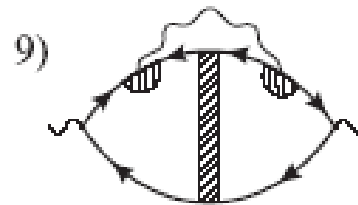
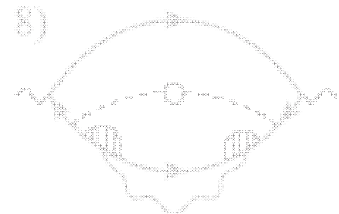
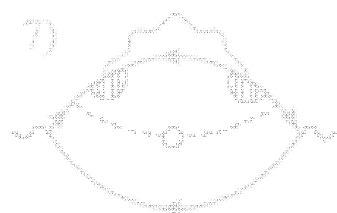
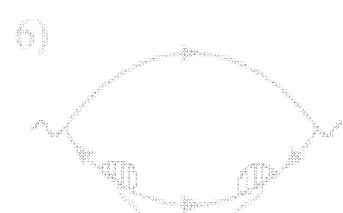
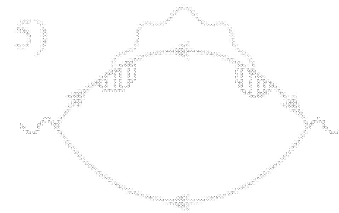
Aslamazov-Larkin



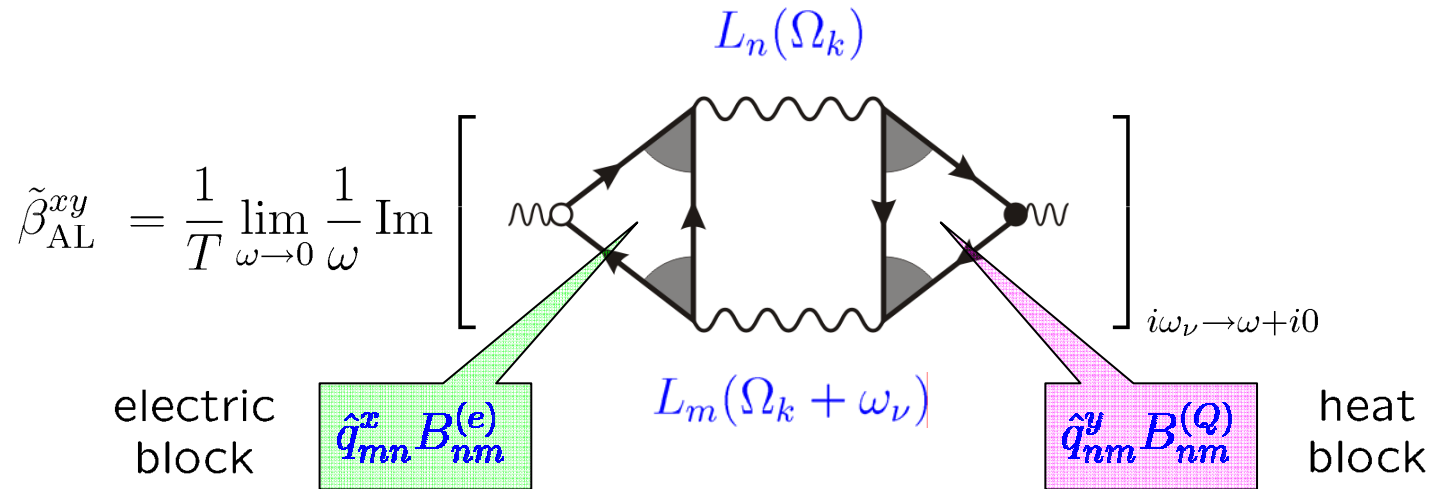
Maki-Thompson



Density-of-states



# Aslamazov-Larkin diagram



- Fluctuation propagator:

$$L_n(\Omega) = -\nu^{-1} \left[ \ln \frac{T}{T_c} + \psi \left( \frac{1}{2} + \frac{|\Omega| + 4eDH(n + 1/2)}{4\pi T} \right) - \psi \left( \frac{1}{2} \right) \right]$$

- Momentum operator in the Landau basis:

$$\hat{q}_{mn}^{x,y} = \sqrt{eH/c} \begin{pmatrix} i \\ 1 \end{pmatrix} (\sqrt{m} \delta_{m,n+1} \mp \sqrt{n} \delta_{n,m+1})$$

- Electric & heat blocks:

...

# Electric and heat vertices

- Electric vertex:

$$B_{nm}^{(e)}(\Omega_k, \omega_\nu) = e\nu D \left[ \frac{\psi_m(\omega_\nu + |\Omega_k|) - \psi_n(|\Omega_k|)}{\omega_\nu + \alpha_m - \alpha_n} + \frac{\psi_n(\omega_\nu + |\Omega_{k+\nu}|) - \psi_m(|\Omega_{k+\nu}|)}{\omega_\nu - \alpha_m + \alpha_n} \right]$$

- Heat vertex:

$$B_{nm}^{(Q)}(\Omega_k, \omega_\nu) = \frac{-i\nu D}{2} \left[ \frac{(\Omega_k - \alpha_m)\psi_m(|\Omega_k| + \omega_\nu) - (\Omega_{k+\nu} - \alpha_n)\psi_n(|\Omega_k|)}{\omega_\nu + \alpha_m - \alpha_n} \right. \\ \left. + \frac{(\Omega_{k+\nu} + \alpha_n)\psi_n(|\Omega_{k+\nu}| + \omega_\nu) - (\Omega_k + \alpha_m)\psi_m(|\Omega_{k+\nu}|)}{\omega_\nu + \alpha_n - \alpha_m} \right]$$

---

$$\alpha_n \equiv 4eDH(n + 1/2), \quad \psi_n(\Omega) \equiv \psi \left( \frac{1}{2} + \frac{|\Omega| + \alpha_n}{4\pi T} \right)$$

# Vicinity of $T_c$

$$\beta^{xy} = \tilde{\beta}_{AL}^{xy} + cM_z/T$$

$$\beta^{xy} = \beta_0 \frac{\pi eDH}{12c(T - T_c)} = 4\beta_{USH}^{xy}$$

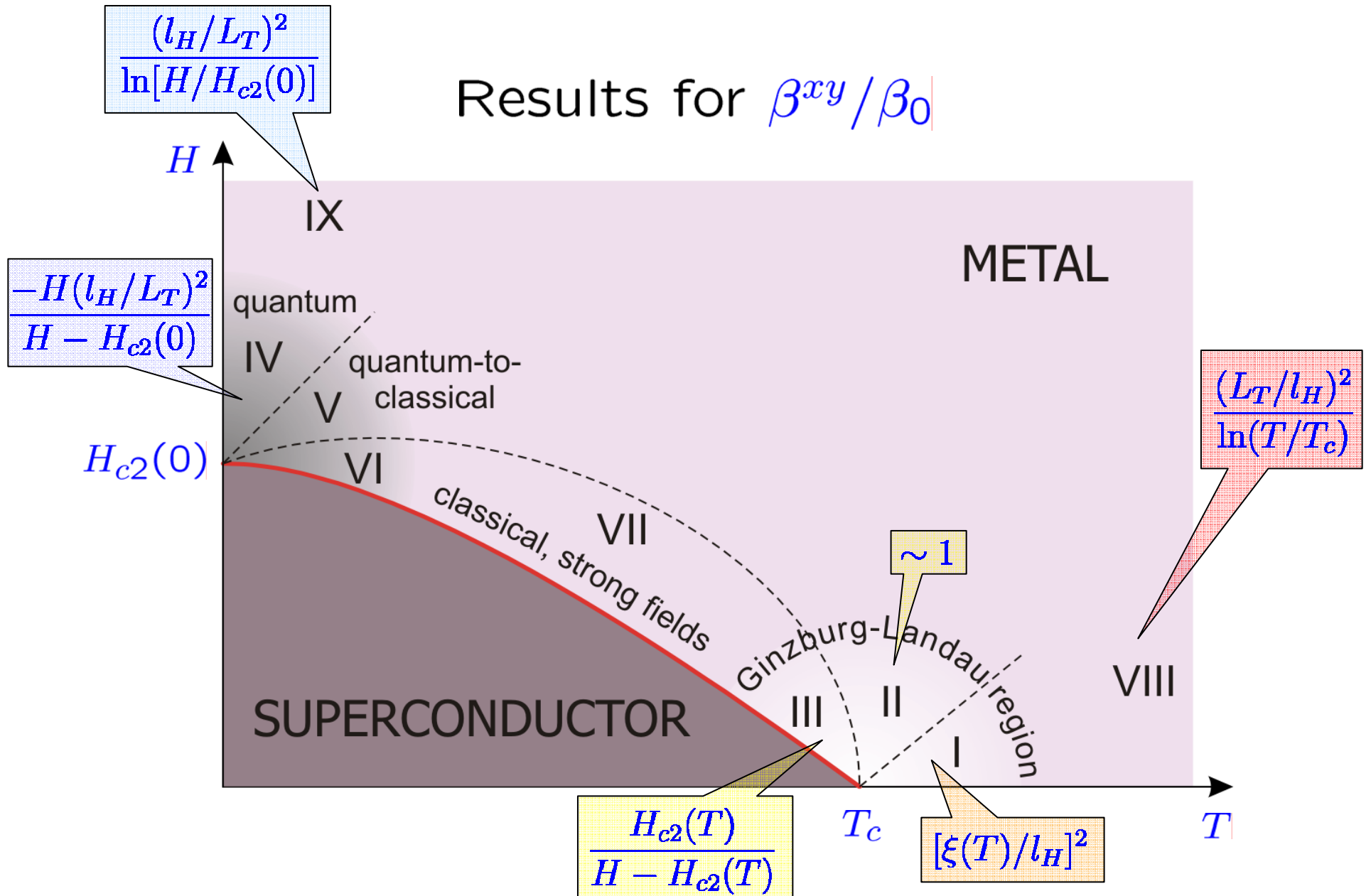
$$\beta^{xy} \propto 2 \times 3_{AL} - 2_M = 4$$

$$\beta_{USH}^{xy} \propto 3_{AL} - 2_M = 1$$



due to complicated analytic structure  
of the the heat vertex  $B_{mn}^{(Q)}(\Omega_k, \omega_\nu)$

# Asymptotic regimes in the phase diagram



# Asymptotic regimes: analytic results

	Regimes	$\beta^{xy} / \beta_0$
I	$\tilde{h} \ll \epsilon \ll 1$	$\tilde{h}/3\epsilon$
II	$\epsilon \ll \tilde{h} \ll 1$	$1 - (\ln 2)/2$
III	$\epsilon + \tilde{h} \ll h \ll 1$	$\tilde{h}/(\epsilon + \tilde{h})$
IV	$t \ll \eta \ll 1$	$-2\gamma t/9\eta$
V	$t^2 / \ln(1/t) \ll \eta \ll t \ll 1$	$\ln(t/\eta)$
VI	$\eta \ll t^2 / \ln(1/t) \ll 1$	$8\gamma^2 t^2 / 3\eta$
VII	$\eta \rightarrow 0$	$\frac{1}{\eta} \left[ 1 + \frac{h}{4\gamma t} \frac{\psi''(1/2+h/4\gamma t)}{\psi'(1/2+h/4\gamma t)} \right]$
VIII	$(1, h) \ll t$	$\frac{eDH}{6\pi cT \ln(T/T_c)}$
IX	$(1, t) \ll h$	$\frac{\pi cT}{12eDH \ln[H/H_{c2}(0)]}$

$$\epsilon = \ln \frac{T}{T_c}, \quad t = \frac{T}{T_c}, \quad h = \frac{H}{H_{c2}(0)}, \quad \tilde{h} = \frac{\pi^2 \gamma H}{8H_{c2}(0)}, \quad \eta = \frac{H_{c2}(T)}{H - H_{c2}(T)}, \quad \gamma = 1.78 \dots$$

## Away from $T_c$

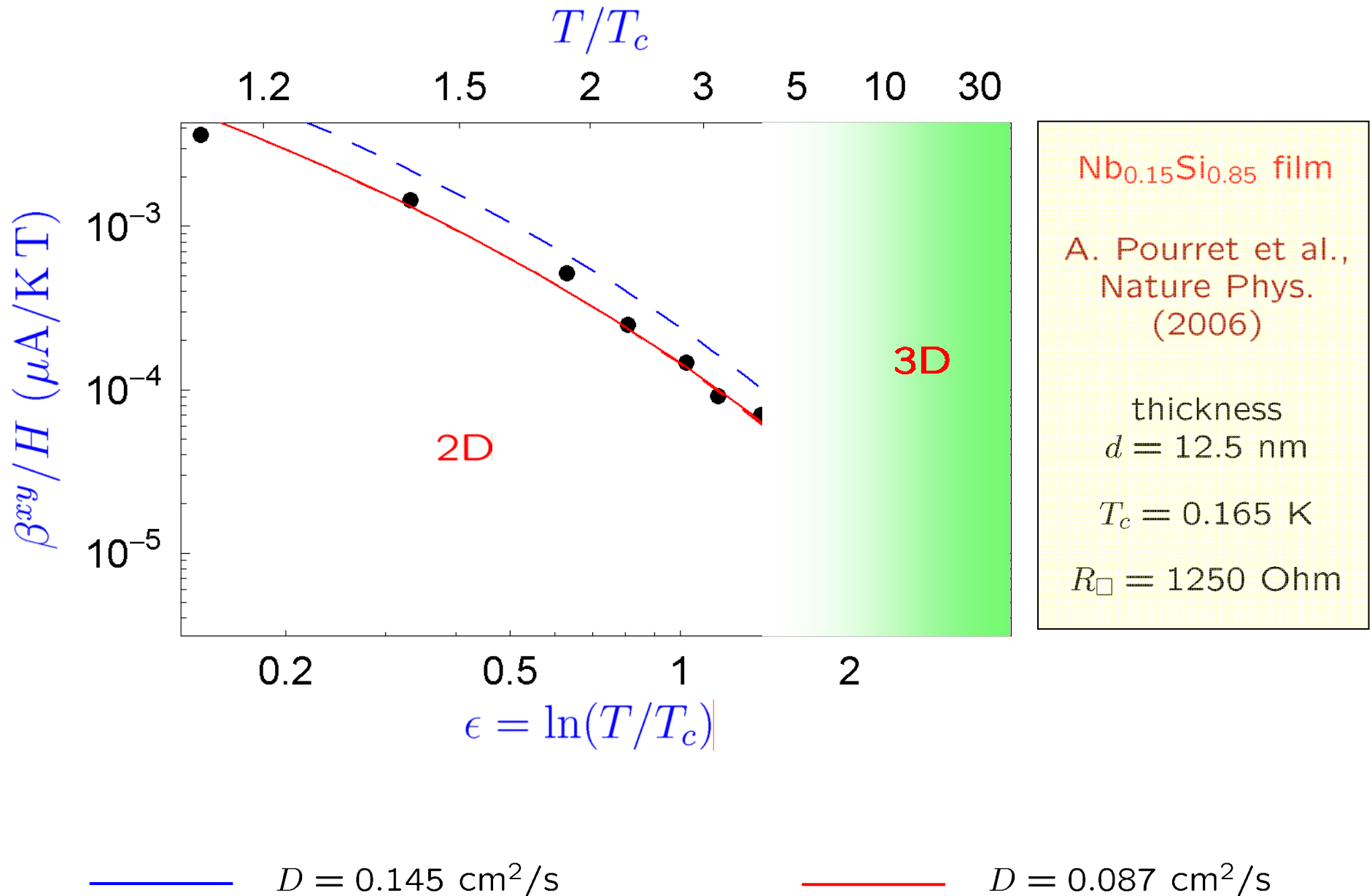
$$\beta^{xy} = \beta_0 \frac{eDH}{6\pi cT \ln(T/T_c)} \sim \beta_0 \frac{L_T^2}{l_H^2} \frac{1}{\ln(T/T_c)}$$

Relative value of the effect:

$$\frac{\beta^{xy}}{\beta_n^{xy}} = \frac{l}{d} \left( \frac{1}{T\tau} \right)^2 = \frac{l}{d} \left( \frac{L_T}{l} \right)^4$$

$\sim 10^3 \dots 10^5$  for NbSi

# Comparison with experiment



# Conclusions

- Qualitative explanation of the Nernst effect in terms of  $\mu(T)$
- Complete microscopic theory of the Nernst effect at arbitrary  $T$  and  $H$  above the transition line
- Crucial importance of the magnetization term
- Giant Nernst signal due to fluctuating Cooper pairs well above the transition line
- Relevance to cuprates